

Meeting Date: Tuesday 21 November 2017
Location: Council Chambers, Level 1A, 1 Pope Street, Ryde
Time: 6.00pm

NOTICE OF BUSINESS

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1 CONFIRMATION OF MINUTES - Finance and Governance Committee Meeting held on 17 October 2017

Report prepared by: Senior Coordinator - Governance
File No.: CLM/17/1/5/2 - BP17/1082

REPORT SUMMARY

In accordance with Council's Code of Meeting Practice, a motion or discussion with respect to such minutes shall not be in order except with regard to their accuracy as a true record of the proceedings.

RECOMMENDATION:

That the Minutes of the Finance and Governance Committee 8/17, held on 17 October 2017, be confirmed.

ATTACHMENTS

- 1 MINUTES - Finance and Governance Committee Meeting - 17 October 2017

ITEM 1 (continued)

ATTACHMENT 1

**Finance and Governance Committee
MINUTES OF MEETING NO. 8/17**

Meeting Date: Tuesday 17 October 2017
Location: Council Chambers, Level 1A, 1 Pope Street, Ryde
Time: 6.00pm

Councillors Present: Councillors Kim (Chairperson), Brown, Clifton, Gordon, Lane, Moujalli, Pedersen, Purcell and Zhou.

Apologies: Nil.

Staff Present: Acting General Manager, Acting Director – Customer and Community Services, Director – Corporate and Organisational Support Services, Acting Director – City Planning and Development, Acting Director – City Works and Infrastructure, General Counsel, Chief Financial Officer, Senior Coordinator – Governance and Executive Assistant to the General Manager.

DISCLOSURES OF INTEREST

There were no disclosures of interest.

1 ELECTION OF CHAIRPERSON AND DEPUTY CHAIRPERSON

The Acting General Manager, as Returning Officer, gave an overview of the election process in relation to the election of the Chairperson and Deputy Chairperson.

METHOD OF VOTING FOR CHAIRPERSON AND DEPUTY CHAIRPERSON

The Acting General Manager, as Returning Officer presented the options on the method of voting for Chairperson and Deputy Chairperson.

RESOLUTION: (Moved by Councillors Gordon and Brown)

- (a) That the method of voting for the election of the Chairperson and Deputy Chairperson be open voting by show of hands.
- (b) That the Acting General Manager, as Returning Officer, undertake the election of the Chairperson and Deputy Chairperson for the ensuing twelve (12) months by announcing the nominations and then conducting the election.

ITEM 1 (continued)

ATTACHMENT 1

Record of the Voting:

For the Motion: Unanimous

ELECTION OF CHAIRPERSON

The Acting General Manager, as Returning Officer called for nominations for the position of Chairperson of the Committee and received nominations being for Councillor Kim and Councillor Brown.

The Acting General Manager, as Returning Officer called for any further nominations. As there were none, nominations were closed.

The Acting General Manager, as Returning Officer confirmed with Councillor Kim and Councillor Brown that they accepted their nomination.

THE ELECTION FOR CHAIRPERSON was conducted by the Acting General Manager, as Returning Officer, which resulted in the following voting:

Councillor Kim **6 votes**
Voting in favour: Councillors Clifton, Gordon, Kim, Pedersen, Purcell and Zhou

Councillor Brown **3 votes**
Voting in favour: Councillors Brown, Lane and Moujalli

As a result of the voting, **COUNCILLOR KIM WAS DULY ELECTED CHAIRPERSON FOR THE ENSUING YEAR.**

ELECTION OF DEPUTY CHAIRPERSON

The Acting General Manager, as Returning Officer called for nominations for the position of Deputy Chairperson of the Committee and received nominations being for Councillor Pedersen and Councillor Lane.

The Returning Officer called for any further nominations. As there were none, nominations were closed.

The Returning Officer confirmed with Councillor Pedersen and Councillor Lane that they accepted the nomination.

ITEM 1 (continued)

ATTACHMENT 1

THE ELECTION FOR DEPUTY CHAIRPERSON was conducted by the Acting General Manager, as Returning Officer, which resulted in the following voting:

Councillor Pedersen 6 votes

Voting in favour: Councillors Clifton, Gordon, Kim, Pedersen, Purcell and Zhou

Councillor Lane 3 votes

Voting in favour: Councillors Brown, Lane and Moujalli

As a result of the voting, COUNCILLOR PEDERSEN WAS DULY ELECTED DEPUTY CHAIRPERSON FOR THE ENSUING YEAR.

Note: The Chairperson, Councillor Kim then assumed the Chair.

2 CONFIRMATION OF MINUTES - Finance and Governance Committee Meeting held on 15 August 2017

RECOMMENDATION: (Moved by Councillors Purcell and Gordon)

That the Minutes of the Finance and Governance Committee 7/17, held on 15 August 2017, be confirmed.

Record of Voting:

For the Motion: Unanimous

Note: This matter will be dealt with at the Council Meeting to be held on **24 OCTOBER 2017** in accordance with the Finance and Governance Committee Terms of Reference in Council's Code of Meeting Practice.

3 INVESTMENT REPORT AS AT 31 AUGUST 2017

RECOMMENDATION: (Moved by Councillors Purcell and Pedersen)

That Council endorse the Investment Report as at 31 August 2017.

Record of Voting:

For the Motion: Unanimous

Note: This matter will be dealt with at the Council Meeting to be held on **24 OCTOBER 2017** in accordance with the Finance and Governance Committee Terms of Reference in Council's Code of Meeting Practice.

ITEM 1 (continued)

ATTACHMENT 1

4 INVESTMENT REPORT AS AT 30 SEPTEMBER 2017

RECOMMENDATION: (Moved by Councillors Purcell and Pedersen)

That Council endorse the Investment Report as at 30 September 2017.

Record of Voting:

For the Motion: Unanimous

Note: This matter will be dealt with at the Council Meeting to be held on **24 OCTOBER 2017** in accordance with the Finance and Governance Committee Terms of Reference in Council's Code of Meeting Practice.

5 ANNUAL DISCLOSURE OF PECUNIARY INTERESTS

RECOMMENDATION: (Moved by Councillors Purcell and Pedersen)

That the Register of Disclosure of Pecuniary Interest Returns is tabled as required under Section 450A of the *Local Government Act*, 1993.

Record of Voting:

For the Motion: Unanimous

Note: This matter will be dealt with at the Council Meeting to be held on **24 OCTOBER 2017** in accordance with the Finance and Governance Committee Terms of Reference in Council's Code of Meeting Practice.

CLOSED SESSION

ITEM 6 - TENDER EVALUATION REPORT COR-RFT-11/17 FOR THE PROVISION OF BUS OPERATORS FOR THE SHOP RYDER COMMUNITY BUS SERVICE FOR THE PERIOD UP TO 30 JUNE 2020

Confidential

This item is classified CONFIDENTIAL under Section 10A(2) of the Local Government Act, 1993, which permits the meeting to be closed to the public for business relating to the following: (c) information that would, if disclosed, confer a commercial advantage on a person with whom the Council is conducting (or proposes to conduct) business.

ITEM 1 (continued)

ATTACHMENT 1

ITEM 7 - ADVICE ON COURT ACTIONS

Confidential

This item is classified CONFIDENTIAL under Section 10A(2) of the Local Government Act, 1993, which permits the meeting to be closed to the public for business relating to the following: (g) advice concerning litigation, or advice as comprises a discussion of this matter, that would otherwise be privileged from production in legal proceedings on the ground of legal professional privilege.

RESOLUTION: (Moved by Councillors Purcell and Clifton)

That the Committee resolve into Closed Session to consider the above matters.

Record of Voting:

For the Motion: Unanimous

Note: The Committee closed the meeting at 6.30pm. The public and media left the chamber.

6 TENDER EVALUATION REPORT COR-RFT-11/17 FOR THE PROVISION OF BUS OPERATORS FOR THE SHOP RYDER COMMUNITY BUS SERVICE FOR THE PERIOD UP TO 30 JUNE 2020

RECOMMENDATION: (Moved by Councillors Clifton and Gordon)

- (a) That Council accept the tender from EBS Global Pty Ltd for the provision of two bus operators for the Shop Ryder Community Bus Service for the period up to 30 June 2020 for the amount of \$240,240 excluding GST as recommended in the Tender Evaluation Report.
- (b) That Council delegate to the Acting General Manager the authority to enter into a contract with EBS Global on the terms contained within the tender and for minor amendments to be made to the contract documents that are not of a material nature.
- (c) That Council advise all the respondents of Council's decision.

Record of Voting:

For the Motion: Unanimous

Note: This matter will be dealt with at the Council Meeting to be held on **24 OCTOBER 2017** in accordance with the Finance and Governance Committee Terms of Reference in Council's Code of Meeting Practice.

ITEM 1 (continued)

ATTACHMENT 1

7 ADVICE ON COURT ACTIONS

RECOMMENDATION: (Moved by Councillors Purcell and Gordon)

That the report of the General Counsel be received.

Record of Voting:

For the Motion: Unanimous

Note: This matter will be dealt with at the Council Meeting to be held on **24 OCTOBER 2017** in accordance with the Finance and Governance Committee Terms of Reference in Council's Code of Meeting Practice.

OPEN SESSION

RESOLUTION: (Moved by Councillors Moujalli and Purcell)

That the Committee resolve itself into open Council.

Record of Voting:

For the Motion: Unanimous

Note: Open Council resumed at 7.02pm.

The meeting closed at 7.02pm.

CONFIRMED THIS 21ST DAY OF NOVEMBER 2017.

Chairperson

2 INVESTMENT REPORT AS AT 31 OCTOBER 2017

Report prepared by: Chief Financial Officer**File No.:** CSG/17/1/6/1 - BP17/1148

REPORT SUMMARY

This report details Council's performance of its investment portfolio as at 31 October 2017 and compares it against key benchmarks. The report includes the estimated market valuation of Council's investment portfolio and loan liabilities.

Council's financial year to date return is 2.82%, which is 1.10% above benchmark. Income from interest on investments and proceeds from sale of investments totals \$1.92M for the period ended 31 October 2017, which is \$559K above the Original 2017/18 Budget (year to date). The 2017/18 September Quarterly Budget Review accounts for \$300K of this additional income with the balance (ie \$259K) to be monitored over coming months.

RECOMMENDATION:

That Council endorse the Investment Report as at 31 October 2017.

ATTACHMENTS

1 P04 Investment Report October 2017 Attachment

Report Prepared By:

Steven Kludass
Chief Financial Officer

Report Approved By:

Roy Newsome
Director - Corporate and Organisational Support Services

ITEM 2 (continued)

Discussion

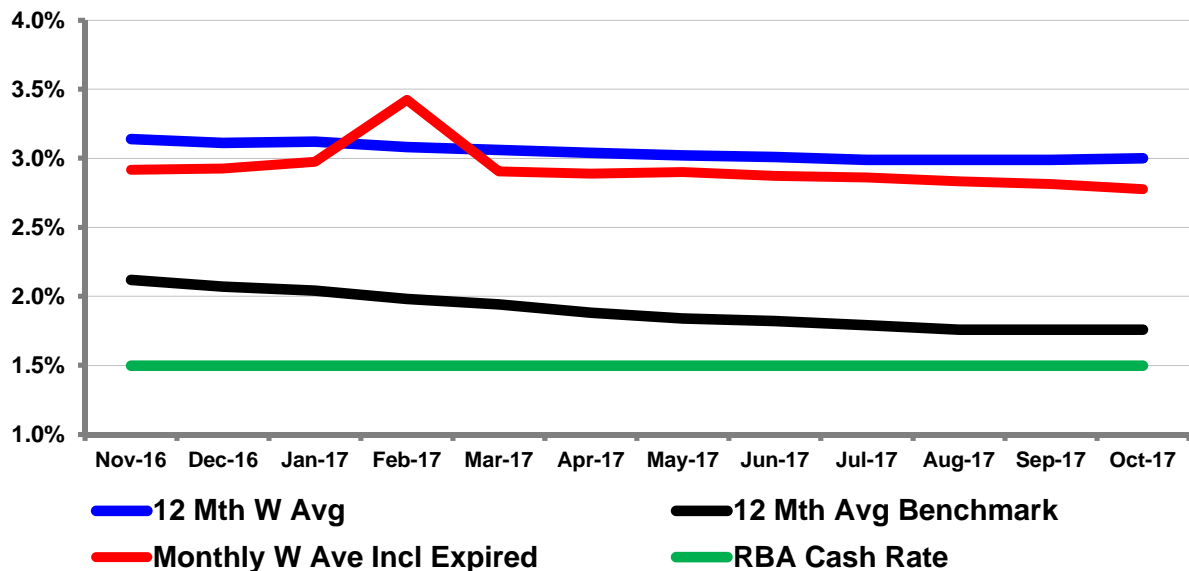
Council's Responsible Accounting Officer, is required to report monthly on Council's Investment Portfolio and certify that the Investments are held in accordance with Council's Investment Policy and Section 625 of the Local Government Act.

Investment Performance Commentary

Council's performance against the benchmark for returns of its investment portfolio for October 2017 and the past 12 months are as follows:

	Oct	12 Mth	FYTD
Council Return	2.78	3.00	2.82
Benchmark	1.74	1.76	1.72
Variance	1.04	1.24	1.10

Performance - All Investments



ITEM 2 (continued)

Council's investment portfolio as at 31 October 2017 was as follows:

Cash/Term Deposits	\$136.8M	69.7%
Floating Rate Notes	\$48.4M	24.7%
Fixed Bonds	\$11.0M	5.6%
Total Cash Investments	\$196.2M	
Investment Properties	\$141.4M	
Total Investments	\$337.6M	

Council's investment properties, valued as at 30 June 2016, are shown in **ATTACHMENT 1**.

The valuations listed have been undertaken in accordance with the revaluation process to 'best use' by an independent valuer, in compliance with the Australian Accounting Standards.

Council continues to utilise the Federal Government's current guarantee (\$250K) investing in Term Deposits with a range of Authorised Deposit Taking Institutions (ADI's) on short to medium term investments (generally 30 days to 180 days maturity) where more competitive rates are available.

Whilst Council has moved some of its investment portfolio out to longer terms, to lock in future returns, it should be noted that there is approximately \$83 million of Council's funds held in Internal Reserves.

Should Council consider utilising its Internal Reserves, this will have a direct impact on the amount of investment income that will be realised and will require a reduction in the future projected investment income and will place pressure on Council to be able to maintain its current level of expenditure on capital projects or maintenance.

Council's income from investments is above the revised projections, due mainly to Council receiving more funds from Developer (Section 94) Contributions, with investment income for General Revenue remaining steady.

Loan Liability

Council's loan liability as at 31 October 2017 was \$3.2 million which represents the balance of:

1. A \$6.8M 15 year loan drawn down in 2004 at 90 Day BBSW + 20 basis points for the Civic Centre Redevelopment and refinancing the West Ryde Tunnel. The interest rate for this loan is reset every quarter;

ITEM 2 (continued)

There is no advantage to Council in changing the arrangements or repaying the loan earlier than planned. Council is receiving a better rate of return on its investments than it is paying in interest on this loan.

The following two loans were established under the Local Infrastructure Renewal Scheme (LIRS). Council receives a 3% interest subsidy payment from the OLG twice a year.

2. A \$1.5M 10 year loan drawn down 31 January 2014 at 180 day BBSW +175 basis points for the Children's Play Implementation Plan, which was approved for an LIRS subsidy in Round 2. The interest rate for this loan is reset every six months;
3. A \$1.5M 10 year loan drawn down 1 September 2014 at 4.95% for Phase 2 of the Children's Play Implementation Plan, which was approved for an LIRS subsidy in Round 3.

ITEM 2 (continued)
INVESTMENT SUMMARY AS AT 31 OCTOBER 2017

Issuer	Investment Name	Investment Rating	Invested at 31-Oct-17 \$000's	Annualised Period Return (%)	12 Month Average Return on Current Investments	Return since 01 July 2017	% of Total Invested	Indicative Market Value ** \$000's	% Market Value
Westpac	1. Westpac At Call	AA-	4,811	0.52	0.64	0.54	2.45	4,811	100.00%
Westpac	2. Westpac Term Deposit	AA-	4,000	2.56	2.58	2.56	2.04	4,000	100.00%
Bank of Queensland	3. Bank of Queensland TD	BBB+	2,000	2.62	2.76	2.67	1.02	2,000	100.00%
CBA	4. Bankwest Term Deposit	AA-	2,000	2.51	2.55	2.52	1.02	2,000	100.00%
NAB	5. NAB Term Deposit	AA-	4,000	2.53	2.64	2.53	2.04	4,000	100.00%
Westpac	6. Westpac Term Deposit	AA-	4,000	3.55	3.55	3.55	2.04	4,000	100.00%
Westpac	7. Westpac Term Deposit	AA-	4,000	3.32	3.32	3.32	2.04	4,000	100.00%
NAB	8. NAB Term Deposit	AA-	2,000	2.47	2.72	2.47	1.02	2,000	100.00%
AMP	9. AMP TD	A	1,000	2.60	2.87	2.60	0.51	1,000	100.00%
Westpac	10. Westpac Term Deposit	AA-	2,000	3.38	3.38	3.38	1.02	2,000	100.00%
NAB	11. NAB Term Deposit	AA-	2,000	2.70	2.70	2.70	1.02	2,000	100.00%
CBA	12. Bankwest Term Deposit	AA-	4,000	2.51	2.53	2.52	2.04	4,000	100.00%
NAB	13. NAB Term Deposit	AA-	4,000	2.57	2.63	2.60	2.04	4,000	100.00%
Defence Bank	14. Defence Bank TD	BBB	1,000	3.10	3.10	3.10	0.51	1,000	100.00%
Regional Australia Bank	15. Regional Australia Bank TD	Unrated	2,000	3.10	3.10	3.10	1.02	2,000	100.00%
QBank	16. Qbank	BBB-	1,000	3.00	3.00	3.00	0.51	1,000	100.00%
Bendigo and Adelaide Bank	17. Bendigo Bank TD	BBB+	1,000	2.80	2.80	2.80	0.51	1,000	100.00%
CUA	18. Credit Union Australia TD	BBB	1,000	2.75	2.87	2.75	0.51	1,000	100.00%
Peoples Choice CU	19. Peoples Choice CU	BBB	1,000	2.61	2.79	2.61	0.51	1,000	100.00%
Australian Military Bank	20. Australian Military Bank	Unrated	1,000	2.51	2.73	2.70	0.51	1,000	100.00%
Banana Coast CU	21. Bananacoast CU TD	Unrated	500	2.70	2.77	2.70	0.25	500	100.00%
CBA	22. CBA TD	AA-	2,000	2.53	2.53	2.53	1.02	2,000	100.00%
CBA	23. Bankwest Term Deposit	AA-	4,000	2.42	2.54	2.43	2.04	4,000	100.00%
IMB	24. IMB TD	BBB	1,000	2.60	2.61	2.60	0.51	1,000	100.00%
Maitland Mutual	25. Maitland Mutual Bldg Soc TD	Unrated	1,000	2.47	2.83	2.59	0.51	1,000	100.00%
AMP	26. AMP Business Saver	A	1,032	2.07	2.06	2.06	0.53	1,032	100.00%
South West CU	27. South West CU TD	Unrated	1,000	2.65	2.79	2.78	0.51	1,000	100.00%
CBA	28. CBA Term Deposit	AA-	1,000	2.55	2.55	2.55	0.51	1,000	100.00%
Gateway CU	29. Gateway CU TD	Unrated	1,000	2.60	2.66	2.65	0.51	1,000	100.00%
Newcastle Perm Bldg Soc	30. Newcastle Perm Bldg Soc	BBB+	1,000	3.10	3.10	3.10	0.51	1,000	100.00%
Greater Bank	31. Greater Bank TD	BBB	1,000	3.00	3.00	3.00	0.51	1,000	100.00%
Police CU (SA)	32. Police CU - SA	Unrated	1,000	2.67	2.91	2.77	0.51	1,000	100.00%
AMP	33. AMP TD	A	1,000	2.61	2.75	2.65	0.51	1,000	100.00%
CBA	34. CBA TD	AA-	1,500	2.56	2.56	2.56	0.76	1,500	100.00%
Rabobank	35. Rabodirect At-call	AA-	5	1.54	1.73	1.65	0.00	5	100.00%
Me Bank	36. ME Bank At Call Account	BBB	28	1.77	1.81	1.77	0.01	28	100.00%
B&E Ltd	37. B & E Building Society TD	Unrated	1,000	2.61	2.61	2.61	0.51	1,000	100.00%
Bendigo and Adelaide Bank	38. Rural Bank TD	BBB+	2,000	2.70	2.73	2.70	1.02	2,000	100.00%
Bank of Queensland	39. Bank of Queensland TD	BBB+	1,000	5.15	5.15	5.15	0.51	1,000	100.00%
Bank of Queensland	40. Bank of Queensland TD	BBB+	2,000	2.66	2.69	2.66	1.02	2,000	100.00%
Bank of Queensland	41. Bank of Queensland TD	BBB+	2,000	3.55	3.55	3.55	1.02	2,000	100.00%
NAB	42. NAB TD	AA-	4,000	2.80	2.80	2.80	2.04	4,000	100.00%
Me Bank	43. ME Bank TD	BBB	1,000	2.66	2.76	2.66	0.51	1,000	100.00%
Bendigo and Adelaide Bank	44. Bendigo Bank TD	BBB+	1,000	2.46	2.72	2.55	0.51	1,000	100.00%
CBA	45. CBA TD	AA-	2,000	2.56	2.56	2.56	1.02	2,000	100.00%
NAB	46. NAB TD	AA-	4,000	2.54	2.62	2.54	2.04	4,000	100.00%
NAB	47. NAB Term Deposit	AA-	2,000	2.52	2.68	2.58	1.02	2,000	100.00%
AMP	48. AMP Term Deposit	A	2,000	2.96	3.07	2.96	1.02	2,000	100.00%
Bank of Queensland	49. Bank of Queensland TD	BBB+	2,000	3.75	3.75	3.75	1.02	2,000	100.00%
NAB	50. NAB TD	AA-	2,000	2.50	2.53	2.50	1.02	2,000	100.00%
Bendigo and Adelaide Bank	51. Bendigo and Adelaide Bank FRN	BBB+	2,000	2.99	3.05	3.01	1.02	2,012	100.58%
Banana Coast CU	52. Bananacoast CU TD	Unrated	1,000	2.65	2.75	2.65	0.51	1,000	100.00%

ITEM 2 (continued)

Issuer	Investment Name	Investment Rating	Invested at 31-Oct-17 \$000's	Annualised Period Return (%)	12 Month Average Return on Current Investments	Return since 01 July 2017	% of Total Invested	Indicative Market Value ** \$000's	% Market Value
Bank of Queensland	53. Bank of Queensland FRN	BBB+	1,000	2.76	2.78	2.75	0.51	1,003	100.30%
AMP	54. AMP Notice Account	A	1,092	2.18	2.19	2.17	0.56	1,099	100.61%
Newcastle Perm Bldg Soc	55. Newcastle Perm Bldg Soc TD	BBB+	1,000	3.50	3.50	3.50	0.51	1,000	100.00%
Bendigo and Adelaide Bank	56. Bendigo Bank TD	BBB+	2,000	2.60	2.68	2.65	1.02	2,012	100.61%
CUA	57. CUA TD	BBB	1,000	2.81	2.87	2.81	0.51	1,003	100.30%
P&N Bank	58. P&N Bank	BBB	1,000	3.17	3.17	3.17	0.51	1,002	100.23%
ANZ	59. ANZ FRN	AA-	2,000	2.57	2.62	2.59	1.02	2,017	100.87%
Me Bank	60. ME Bank FRN	BBB	2,000	2.76	2.78	2.76	1.02	2,000	100.01%
CBA	61. Bankwest TD	AA-	1,000	2.51	2.52	2.51	0.51	1,000	100.00%
QT Mutual Bank	62. Queensland Teachers Mutual Bank FRN	Unrated	1,000	2.82	2.83	2.81	0.51	1,000	100.02%
Teachers Mutual Bank	63. Teachers Mutual Bank	BBB	1,000	2.82	2.84	2.82	0.51	1,000	100.02%
Auswide Bank	64. Auswide Bank TD	BBB-	1,000	2.60	2.68	2.65	0.51	1,000	100.01%
CBA	65. Bankwest TD	AA-	2,000	2.52	2.52	2.52	1.02	2,001	100.06%
Westpac	66. Westpac FRN	AA-	2,000	2.62	2.67	2.63	1.02	2,021	101.04%
Macquarie Bank	67. Macquarie Bank FRN	A	750	2.87	2.89	2.87	0.38	758	101.09%
CBA	68. CBA TD	AA-	4,000	2.55	2.55	2.55	2.04	4,001	100.03%
Newcastle Perm Bldg Soc	69. Newcastle Perm Bldg Soc FRN	BBB+	1,000	3.10	3.14	3.09	0.51	1,001	100.07%
Heritage Bank	70. Heritage Bank FRN	A-	1,000	2.87	2.92	2.89	0.51	1,001	100.12%
Bendigo and Adelaide Bank	71. Bendigo Bank TD	BBB+	2,000	3.05	3.05	3.05	1.02	2,022	101.09%
CBA	72. CBA FRN	AA-	2,000	2.59	2.67	2.64	1.02	2,020	101.00%
Westpac	73. Westpac FRN	AA-	1,000	2.62	2.67	2.63	0.51	1,010	101.01%
CBA	74. CBA TD	AA-	2,000	2.71	2.65	2.71	1.02	2,002	100.12%
Bendigo and Adelaide Bank	75. Bendigo Bank FRN	BBB+	1,000	2.87	2.88	2.87	0.51	1,007	100.70%
CBA	76. CBA TD	AA-	4,000	2.57	2.57	2.57	2.04	4,040	101.00%
NAB	77. NAB TD	AA-	2,000	2.75	2.75	2.75	1.02	2,020	101.01%
NAB	78. NAB Snr FRN	AA-	3,985	2.72	2.75	2.72	2.03	4,028	100.70%
CBA	79. CBA FRN	AA-	2,000	2.51	2.55	2.52	1.02	2,007	100.36%
Suncorp-Metway	80. Suncorp FRN	A+	800	2.99	3.03	2.99	0.41	813	101.66%
NAB	81. NAB FRN	AA-	2,000	2.80	2.85	2.81	1.02	2,024	101.22%
ANZ	82. ANZ Floating TD	AA-	4,000	3.23	3.28	3.25	2.04	4,028	100.70%
AMP	83. AMP FRN	A	1,600	2.87	2.89	2.87	0.82	1,605	100.31%
CBA	84. CBA TD	AA-	2,000	2.74	2.71	2.74	1.02	2,033	101.66%
ANZ	85. ANZ Flexi TD	AA-	4,000	3.21	3.24	3.21	2.04	4,049	101.22%
CBA	86. CBA FRN	AA-	1,988	2.89	2.94	2.90	1.01	2,020	101.00%
CUA	87. CUA FRN	BBB	2,000	3.35	3.40	3.35	1.02	2,007	100.34%
Newcastle Perm Bldg Soc	88. NPBS FRN	BBB+	1,000	3.36	3.40	3.36	0.51	1,005	100.53%
ANZ	89. ANZ FRN	AA-	4,000	2.93	2.96	2.92	2.04	4,072	101.81%
NAB	90. NAB Fixed Bond	AA-	2,988	3.16	3.15	3.16	1.52	3,026	100.87%
CBA	91. Bankwest 11am Account	AA-	1,789	1.77	1.97	1.93	0.91	1,795	100.34%
Westpac	92. Westpac MTN	AA-	3,992	3.19	3.19	3.19	2.04	4,039	100.99%
Suncorp-Metway	93. Suncorp FRN (Covered)	AAA	4,000	2.84	2.89	2.84	2.04	4,046	101.15%
Newcastle Perm Bldg Soc	94. Newcastle Perm Bldg Soc FRN	BBB+	995	3.34	3.38	3.34	0.51	1,001	100.09%
ANZ	95. ANZ Fixed Bond	AA-	3,987	2.92	2.92	2.95	2.03	4,009	100.22%
Defence Bank	96. Defence Bank TD	BBB	1,000	2.85	2.85	2.85	0.51	1,010	100.99%
Me Bank	97. ME Bank FRN	BBB	1,001	3.19	3.18	3.13	0.51	1,004	100.42%
Westpac	98. WBC Floating TD	AA-	4,000	2.93	2.97	2.94	2.04	4,004	100.09%
Greater Bank	99. Greater Bank FRN	BBB	1,000	3.21	3.24	3.22	0.51	1,001	100.11%
Banana Coast CU	100. Bananacoast CU TD	Unrated	1,000	2.95	2.95	2.95	0.51	998	99.78%
CUA	101. CUA FRN	BBB	2,000	3.05	3.08	3.05	1.02	2,002	100.11%
Heritage Bank	102. Heritage Bank FRN	A-	1,300	3.02	3.04	3.04	0.66	1,301	100.05%
Suncorp-Metway	103. Suncorp FRN	A+	1,000	2.69	2.69	2.69	0.51	1,005	100.54%
			196,143	2.78	2.85	2.82	100	196,863	

ITEM 2 (continued)

*Monthly returns when annualised can appear to exaggerate performance

**Market valuations are indicative prices only, and do not necessarily reflect the price at which a transaction could be entered into.

Return including Matured/Traded Investments	<u>Oct</u>	<u>12 Mth</u>	<u>FYTD</u>
Weighted Average Return	2.78	3.00	2.82
Benchmark Return: AusBond Bank Bill Index (%)	1.74	1.76	1.72
Variance From Benchmark (%)	1.04	1.24	1.10

Investment Income

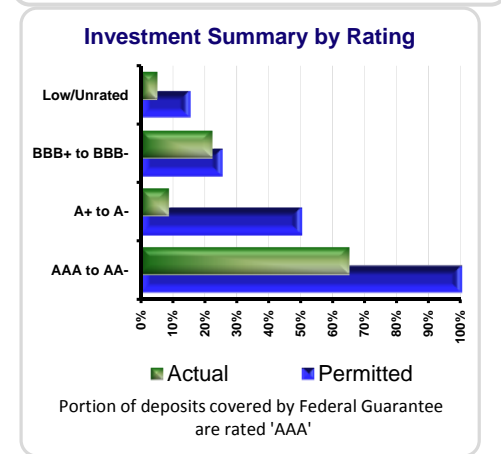
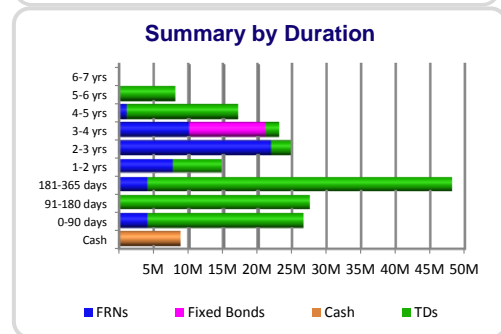
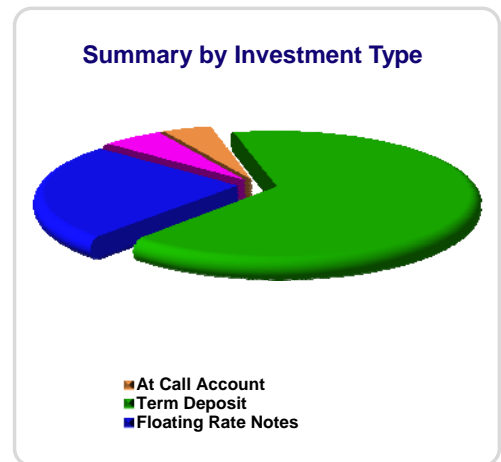
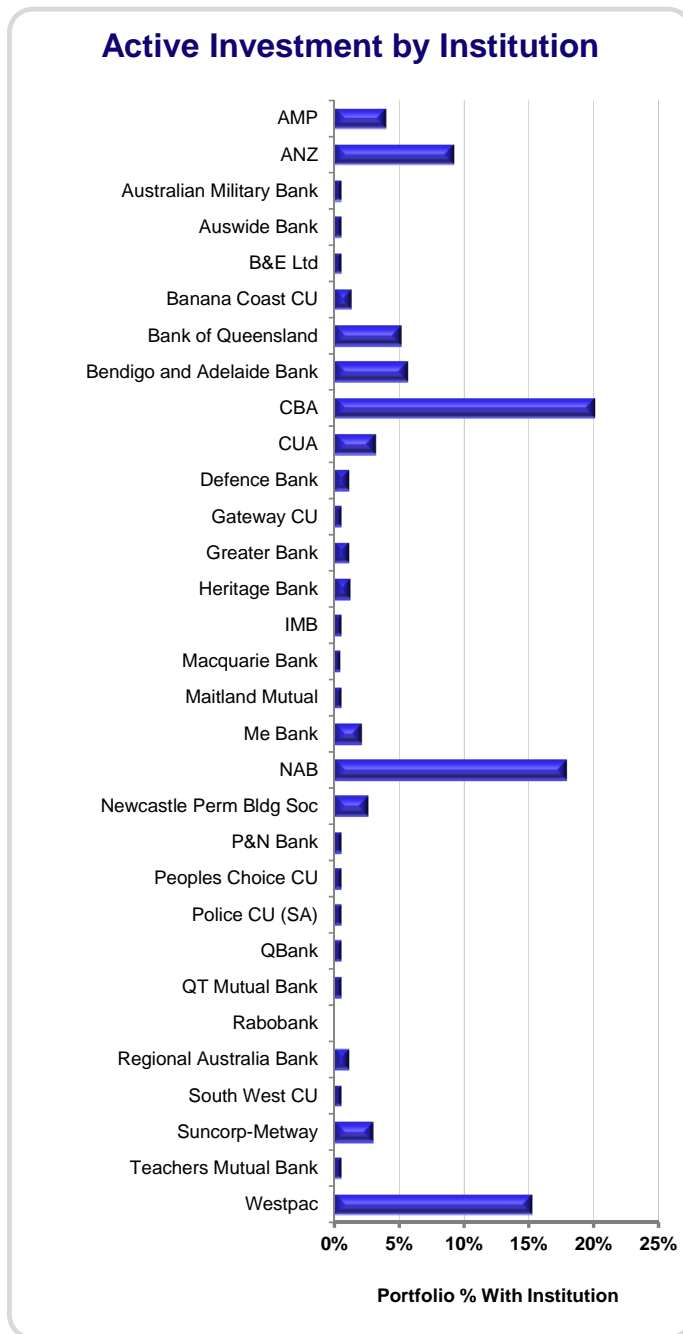
	<u>\$000's</u>
This Period	459
Financial Year To Date	1,922
Budget Profile	1,363
Variance from Budget - \$	<u>559</u>

Analysis of investments

The following graphs show analysis of the total cash investments by:

- Type of investment
- Institution
- Duration
- Rating

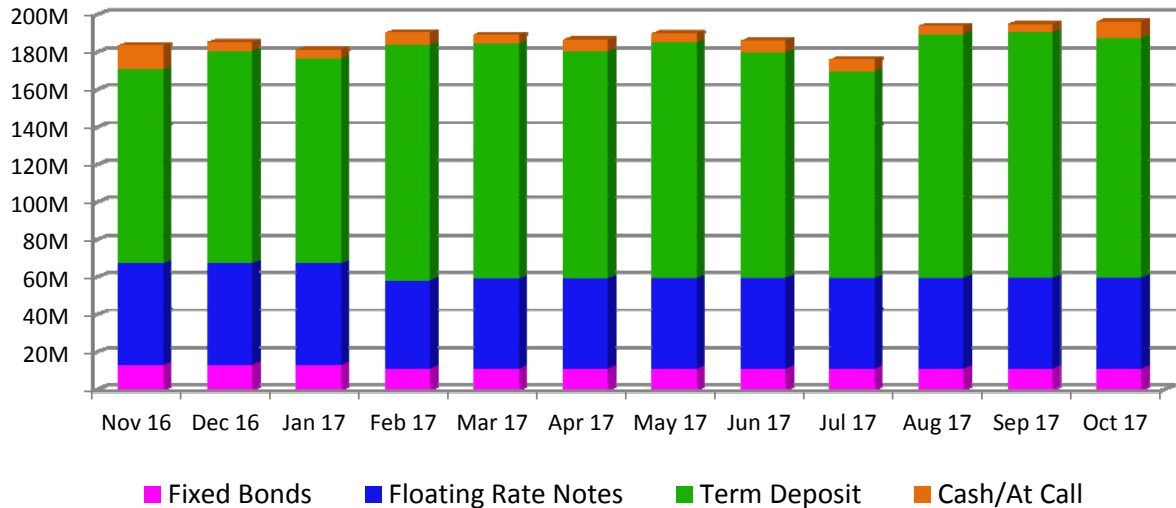
ITEM 2 (continued)



	<365 days	>365 days
Cash/TDs	\$97.8M	\$39.0M
FRNs	\$8.0M	\$40.4M
Fixed Bonds	\$0.0M	\$11.0M
	\$105.8M	\$90.4M

ITEM 2 (continued)

Total Funds Invested



Financial Implications

Income from interest on investments and proceeds from sales of investments totals \$1.92M for the period ended 31 October 2017, \$559K above the Original 2017/18 Budget. The 2017/18 September Quarterly Budget Review accounts for \$300K of this additional income with the balance (ie \$259K) to be monitored over coming months.

Summary

Council’s financial year to date return is 2.82%, which is 1.10% above benchmark. Income from interest on investments and proceeds from sale of investments totals \$1.92M for the period ended 31 October 2017, which is \$559K above the Original 2017/18 Budget. Part of this favourable budget variance will be brought to account in the 2017/18 September Quarterly Budget Review as slowly declining interest rates continue to be monitored.

The recommendation in this report is consistent with Section 625 of the Local Government Act, which deals with the investment of surplus funds by Councils.

Certificate of the Chief Financial Officer (Responsible Accounting Officer)

I certify that as at the date of this report, the investments listed have been made and are held in compliance with Council’s Investment Policy and applicable legislation.

Steven Kludass
Chief Financial Officer

ITEM 2 (continued)

ATTACHMENT 1

Council's Property Investment Portfolio

The following properties were held as part of Council's Property Investment portfolio;

Property	\$' M
1A Station St, West Ryde	0.95
2 Dickson Ave, West Ryde	1.20
8 Chatham Rd, West Ryde	1.38
202 Rowe Street, Eastwood	3.24
226 Victoria Rd, Gladesville	0.38
7 Anthony Road, West Ryde	5.23
7 Coulter Street, Coulter St Car Park, Gladesville	21.90
6-12 Glen Street, Glen Street Car Park, Eastwood	36.17
2 Pittwater Road, John Wilson Car Park, Gladesville	8.70
150 Coxs Road, Cox Rd Car Park, North Ryde	1.65
33-35 Blaxland Road, Argyle Centre, Ryde	5.55
19-21 Church Street and 16 Devlin Street, Ryde	10.87
6 Reserve Street, West Ryde	3.70
Herring Road Air Space Rights	0.51
741-747 Victoria Road, Ryde (Battery World)	7.06
53-71 Rowe Street, Eastwood	7.05
Total Investment Properties as per the Financial Statements	115.54
1 Constitution Road, Operations Centre, Ryde ⁽¹⁾	38.15
PROPERTIES HELD FOR RE-SALE	153.69

(1) The value for this is currently held in Non-Current Assets in Note 9, and once vacated by Council; it will be transferred to Investment Properties within the Financial Statements.

(2) The above figures refer to the land only as valued at "best use", and do not include the value of any structures.

Benchmark

The Bloomberg Ausbond Bank Bill index is constructed as a benchmark to represent the performance of a passively managed short-term money market portfolio. It comprises thirteen Bank Bills of equal face value, each with a maturity seven days apart. The average term to maturity is approximately 45 days.

A Bank Bill is a non-interest bearing security issued by a bank whereby the bank takes on an obligation to pay an investor a fixed amount (face value) at a fixed future date. It is sold to an investor at a discount to the face value. Bank Bills are short-term money market investments with maturities usually between 30 days and 180 days.

ITEM 2 (continued)

ATTACHMENT 1

Types of Investments

The following are the types of investments held by Council:

At Call refers to funds held at a financial institution, and can be recalled by Council either same day or on an overnight basis.

A Floating Rate Note (FRN) is a debt security issued by a company with a variable interest rate. This can either be issued as Certificates of Deposit (CD) or as Medium Term Notes (MTN). The interest rate can be either fixed or floating, where the adjustments to the interest rate are usually made quarterly and are tied to a certain money market index such as the Bank Bill Swap Rate.

A Fixed Rate Bond is a debt security issued by a company with a fixed interest rate over the term of the bond.

Credit Rating Information

Credit ratings are generally a statement as to an institution's credit quality. Ratings ranging from AAA to BBB- (long term) are considered investment grade.

A general guide as to the meaning of each credit rating is as follows:

AAA: the best quality companies, reliable and stable

AA: quality companies, a bit higher risk than AAA

A: economic situation can affect finance

BBB: medium class companies, which are satisfactory at the moment

BB: more prone to changes in the economy

B: financial situation varies noticeably

CCC: currently vulnerable and dependent on favourable economic conditions to meet its commitments

CC: highly vulnerable, very speculative bonds

C: highly vulnerable, perhaps in bankruptcy or in arrears but still continuing to pay out on obligations

D: has defaulted on obligations and it is believed that it will generally default on most or all obligations

Note: Ratings from 'AA' to 'CCC' may be modified by the addition of a plus (+) or minus (-) sign to show relative standing within the major rating categories.

ITEM 2 (continued)

ATTACHMENT 1

Council's Investment Powers

Council's investment powers are regulated by Section 625 of the Local Government Act, which states:

- (1) A council may invest money that is not, for the time being, required by the council for any other purpose.
- (2) Money may be invested only in a form of investment notified by order of the Minister published in the Gazette.
- (3) An order of the Minister notifying a form of investment for the purposes of this section must not be made without the approval of the Treasurer.
- (4) The acquisition, in accordance with section 358, of a controlling interest in a corporation or an entity within the meaning of that section is not an investment for the purposes of this section.

Council's investment policy requires that all investments are to be made in accordance with;

- Local Government Act 1993 - Section 625
- Local Government Act 1993 - Order (of the Minister) dated 12 January 2011
- The Trustee Amendment (Discretionary Investments) Act 1997 – Sections 14A(2), 14C(1) & (2)
- Local Government (Financial Management) Regulation 1993
- Investment Guidelines issued by the Department of Local Government

3 SEPTEMBER QUARTERLY REVIEW REPORT - FOUR YEAR DELIVERY PLAN 2017-2021 AND 2017/2018 OPERATIONAL PLAN

Report prepared by: Team Leader - Management Accounting
File No.: FIM/07/6/2/7/9 - BP17/1125

REPORT SUMMARY

Council's Four Year Delivery Plan 2017-2021 and One Year 2017/18 Operational Plan, sets out the strategic and financial objectives for the year. The Plans also detail the goals and various performance measures for Council's seven key outcome areas, the services and projects that Council plans to deliver in 2017/2018.

The attached Quarterly Report includes details for each of the seven Outcome areas and the 21 Program areas, detailing the targets adopted by Council and the performance to date in achieving those targets by 30 June 2018. Also shown is a financial performance summary for each key outcome area and a progress status report on all Capital and Non-Capital Projects by Program Area that are being undertaken in 2017/2018.

As a result of the September Quarterly Review, Council's available Working Capital is projected to reduce by \$0.47 million to approximately \$5.64 million. This level of Working Capital is considered adequate given it exceeds Council's minimum uncommitted Working Capital balance of \$4 million.

This review brings to account additional RMS Grants, Building and Land Use Enforcement fees, DA fees, additional investment income and rates income. This review is also recommending increased budget allocations for legal services and amending Council's overhead recovery capital from the Domestic Waste Services.

All departments were progressing well towards delivering their Corporate Performance Indicator targets. The majority of corporate indicators are on track. Any projects that are proposed to be deferred or cancelled are listed in this report for Council's consideration.

RECOMMENDATION:

- (a) That the report of the Chief Financial Officer dated 30 September 2017 on the September Quarterly Review Report - Four Year Delivery Plan 2017- 2021 and One Year 2017/18 Operational Plan, *Quarter One, July – September 2017* be received and endorsed.
- (b) That the proposed budget adjustments included in this report resulting in a net reduction of \$0.47million to Council's Working Capital and leaving a projected balance of \$5.64 million as at 30 June 2018, be endorsed and included in the 2017/2018 Budget.

ITEM 3 (continued)

- (c) That the proposed transfers to and from Reserves as detailed in the report, and included as budget adjustments, totalling a net increase in Transfers from Reserves of \$2.97 million be endorsed.
- (d) That the Certificate of the Responsible Accounting Officer dated 7 November 2017 be endorsed.
- (e) That Council endorse the Projects recommended for cancellation, deferral, being placed on hold or proposed to be carried over, as detailed in the Report.

ATTACHMENTS

- 1 Quarterly Review Report - Four Year Delivery Plan 2017-2021 including One Year 2017/2018 Operational Plan - Quarter 1 (July to September 2017) - CIRCULATED UNDER SEPARATE COVER
- 2 Reserve Listing
- 3 Quarterly Changes
- 4 Two Page Summary - Budget Reviews - detailed - September

Report Prepared By:

Jifeng Huang
Team Leader - Management Accounting

Report Approved By:

Steven Kludass
Chief Financial Officer

Roy Newsome
Director - Corporate and Organisational Support Services

ITEM 3 (continued)

Discussion

As required under section 407 of the Local Government Act, 1993 the quarterly review of the One Year 2017/18 Operational Plan as at 30 June 2018 is presented to Council.

This Quarterly Review reports on the performance of Council in undertaking its Principal Activities in terms of its stated objectives and financial position. The following sections are included in the document, *Quarterly Review Report, Four Year Delivery Plan 2017-2021 including One Year 2017/18 Operational Plan, Quarter One, July – September 2017* (**ATTACHMENT 1 - CIRCULATED UNDER SEPARATE COVER**);

- General Manager's Overview, Financial Management and Corporate Performance Overview - provides a 'snapshot' of Council's performance in the Quarter relative to several high profile activities.
- Outcome Area Reports – including overview, operational indicators, financial outcome and graphical representation of performance measures.
- Capital and Non Capital Projects Quarterly Status Report – provides comments regarding the status of all of Council's Capital and Non Capital Expenditure projects.
- Base Budget Quarterly Status Report.
- Reserves Listing Report – outlines the opening balance, approved budgeted transfers to/from Reserves and proposed additional transfers to/from Reserves, with a projected balance as at 30 June 2018. **ATTACHMENT 2**
- Quarterly Changes Report – provides comments and details of those budget items that are proposed to be increased or decreased in the 2017/2018 budget. **ATTACHMENT 3**
- Consolidated Income and Expenditure Estimates 2017/2018, summary of the budget in two pages, showing the original budget and quarterly review changes. **ATTACHMENT 4**

Report

The 2017 September Quarterly Review has been completed and is submitted to Council for endorsement.

ITEM 3 (continued)

The key points to note that are included in this Review are;

Income

- \$1.65 million reduction for Financial Assistance grant as grant was received in 2016/17;
- \$0.92 million additional RMS grant received in relation to Connecting Centres (Cycling) - Infrastructure Program;
- \$0.75 million additional RMS grant received for Constitution/Railway Road Meadowbank TCS project;
- \$0.53 million additional Domestic Waste income received; which will be transferred to the Domestic Waste Management Reserve;
- \$0.40 million additional RMS grant received for the Bennelong Park Groyne and Foreshore Improvement Project;
- \$0.35 million additional Engineering Plan Assessment fees, Rock Anchor Approval fees, Work Zone Parking fees, Street Alignment DA/CC Application fees and Infrastructure Inspection fees, offset by an increase in the expenses budget;
- \$0.35 million additional RMS grant for the Pedestrian Infrastructure Safety Around Schools Program Project;
- \$0.30 million additional interest received on Section 94 funds, which will be transferred to the Section 94 Reserve;
- \$0.30 million reduction for Restoration income due to less recoverable works been undertaken, offset by a reduction in expenses; and
- \$0.20 million additional DA application and DA advertising fees received.

Expenses

- \$1.75 million additional funding for Connecting Centres (Cycling) - Infrastructure Program, of which 47% is funded by Section 94 Reserve and 53% is funded by RMS grant;
- \$0.75 million additional funding for Constitution/Railway Rd Meadowbank TCS project, funded by a RMS Grant;
- \$0.54 million additional funding for Pedestrian Infrastructure Safety Around Schools Program project, of which \$0.35 million is funded by a RMS Grant and \$0.19 million is funded by the Section 94 Reserve;
- \$0.51 million additional funding for Ryde Bowling Club Maintenance and Public Recreation Work project as per Council resolution dated on 23 October 2017, funded by the VPA Reserve;
- \$0.40 million additional RMS grant funding for the Bennelong Park Groyne and Foreshore Improvement Project;

ITEM 3 (continued)

- \$0.35 million increase in the budget for operating costs associated with the Development Management Program, offset by Engineering Plan Assessment fees, Rock Anchor Approval fees, Work Zone Parking fees, Street Alignment DA/CC Application fees and Infrastructure Inspection fees;
- \$0.25 million additional funding for the East Parade Car Park Project, funded by the Assets Replacement Reserve;
- \$0.25 million additional funding for legal costs budget due to Increase in development appeals and the need to pay for expert witnesses for those matters;
- \$0.20 million additional funding for Traffic Facilities Renewal capital program in relation to planning and investigation required to re-embellish the collector and sub-arterial roads within the Ryde LGA, funded by the Assets Replacement Reserve;
- \$0.20 million additional funding for replacement of Top Ryde bus and wheel loader, funded by the Plant Replacement Reserve; and
- \$0.30 million reduction for operating expenses for Restoration Management Program, offset by a reduction in restoration income received.

Reserves

- \$1.65 million transfer from Financial Assistance Reserve as grant was received in 2016/2017;
- \$0.82 million transfer from Section 94 for funding the Connecting Centres (Cycling) Project - Infrastructure Program;
- \$0.53 million transfer to the Domestic Waste Management Reserve as additional income received;
- \$0.51 million transfer from the VPA Reserve to fund Ryde Bowling Club Maintenance and Public Recreation Works Project as per Council resolution dated 23 October 2017;
- \$0.30 million transfer to the Section 94 Reserve to reflect additional interest received from Section 94 funds invested;
- \$0.25 million transfer from the Asset Replacement Reserve to fund capital works in the East Parade Car Park;
- \$0.23 million transfer back to the Domestic Waste Management Reserve as an adjustment relating to overhead charges was necessary for DWM;
- \$0.20 million transfer from the Asset Replacement Reserve to fund planning and investigation costs required to re-embellish collector and sub-arterial roads within the Ryde LGA project; and
- \$0.20 million transfer from the Plant Replacement Reserve to fund the replacement of a Shop Ryder bus and wheel loader equipment.

ITEM 3 (continued)
Working Capital Summary

Following the completion of the Financial Statements, Council had a Working Capital of \$5.10 million, as at 30 June 2017. In the adopted 4 Year Delivery Plan and 1 Year 2017/18 Operational Plan, Council's forecasted available Working Capital position was \$5.82 million as at 30 June 2018. This figure will now be revised up to \$6.11 million as at 30 June 2018. As the result of September Quarterly Review, the forecasted working capital as at 30 June 2018 is \$5.64 million as detailed below;

In the September Quarterly Review, the proposed budget adjustments will result in a \$0.47 million decrease in Council's Working Capital to \$5.64 million as at 30 June 2018.

Opening Working Capital	4,854
End of Year Changes	249
Final Opening Working Capital	5,103
2017/2016 Operational Plan	1,008
Revised Working Capital	6,111
September Adjustments	(470)
December Adjustments	
March Adjustments	
Carryover Adjustments	
June Adjustments	
Closing Working Capital	5,641

Overview of September Review

Following the September Quarterly Review, Council is projecting a Working Capital balance of \$5.64 million, \$1.64 million above the minimum uncommitted Working Capital balance of \$4 million.

The following are the major changes to be made, with a complete listing provided in the circulated document (**ATTACHMENT 3**), and more detailed explanations in each Outcome area of that document.

ITEM 3 (continued)Operating Budget

- The budget is projected to **increase operating income by \$2.30 million (1.63%)** with the main areas being as follows;

Increase

- \$0.92 million additional RMS grant received in relation to the Connecting Centres (Cycling) Project - Infrastructure Program;
- \$0.75 million additional RMS grant received for the Constitution/Railway Road Meadowbank TCS Project;
- \$0.53 million additional Domestic Waste income received, which will be transferred to the Domestic Waste Management Reserve;
- \$0.40 million additional RMS grant received for the Bennelong Park Groyne and Foreshore Improvement Project;
- \$0.35 million additional Engineering Plan Assessment fees, Rock Anchor Approval fees, Work Zone Parking fees, Street Alignment DA/CC Application fees and Infrastructure Inspection fees, offset by an increase in corresponding expenditures;
- \$0.35 million additional RMS grant for the Pedestrian Infrastructure Safety Around Schools Program Project;
- \$0.30 million additional interest received on Section 94 funds, which will be transferred to the Section 94 Reserve;
- \$0.20 million additional DA application fees and DA advertising fees;
- \$0.13 million additional Investment Properties Rent income and net profit from investment properties. \$0.12 million will be transferred to the Investment Property Reserves as per Council's resolution dated 13 May 2014;
- \$0.12 million additional rates income received;
- \$0.12 million additional funding from RMS to install additional traffic calming devices, including Stop signs and traffic island at Elliott Ave/Moncrieff Drive and Bluett Ave to Rene Street, East Ryde;
- \$0.10 million additional Environmental Enforcement Levy;
- \$0.09 million additional sponsorships and income from Stallholders for Granny Smith events;
- \$0.05 million additional Routine Inspections fees;
- \$0.03 million additional Staff Vehicle Lease payment, partially offset by additional motor vehicle recovery costs; and
- \$0.03 million additional WHS Incentive Rebate.

ITEM 3 (continued)Reduction

- \$1.65 million reduction for Financial Assistance grant as grant was received in 2016/17;
 - \$0.30 million reduction in Restoration income due to less recoverable works been undertaken, offset by a corresponding reduction in expenditure;
 - \$0.11 million reduction for Commercial Buildings Royalty Charges;
 - \$0.08 million reduction of Block Grant for Regional Roads; and
 - \$0.05 million reduction of Public Road Leases fees.
- The budget is projected to **increase operating expenses by \$0.95 million** (0.95%) with the main areas being as follows;

Increase

- \$0.35 million increased budget for operating cost associated with the Development Management Program, offset by additional Engineering Plan Assessment fees, Rock Anchor Approval fees, Work Zone Parking fees, Street Alignment DA/CC Application fees and Infrastructure Inspection fees received;
- \$0.25 million additional funding for legal costs budget due to increase in development appeals and the need to pay for expert witnesses for those matters;
- \$0.25 million additional budget for Ryde Central Project, as funding to be transferred from Ryde Civic Hub - International Design Competition;
- \$0.16 million additional budget for Eastwood Traffic & Parking Study Project, funded by the Section 94 Reserve;
- \$0.15 million additional consultant fees relating to investigations as well as the cost of temporary replacement for the Manager Risk, Audit and Governance, funded by the Insurance Fluctuation Reserve;
- \$0.11 million additional funding for salaries and wages of temporary Information Access Officer;
- \$0.10 million additional funding for employee costs of new Procurement Department Manager, funded by the Risk Rebate Reserve;
- \$0.10 million additional funding for Records Storage and Retrieval expenses due to increased offsite storage;
- \$0.08 million addition funding for one additional Environmental Health Officer, offset by additional inspection fees and charges received;
- \$0.08 million increase budget for Motor Vehicle Recovery expenses in various programs;

ITEM 3 (continued)

- \$0.08 million additional funding for consultant expenses related to property development pre-feasibility and investigative studies, funded by the Investment Property Reserve;
- \$0.08 million addition funding for Events - Community Program, due to the increase in attendance at Events;
- \$0.06 million additional repairs and maintenance budget for Eastwood Town Hall;
- \$0.06 million additional funding for salaries and wages of temporary Information Records Management Officer;
- \$0.04 million increased budget for “Have Your Say Day” Program;
- \$0.03 million increased budget for Rates & Revenue Administration Program, offset by additional Rates income received;
- \$0.03 million increased budget relating to the costs for the Procurement Consultant in analysing, updating and implementing changes to Council’s current procurement framework, funded by the Risk Rebate Reserve;
- \$0.02 million additional funding for the Health and Wellbeing - Rewards and Recognition program; and
- \$0.02 million additional funding allocated to the demolition of Bill Mitchell Club House (Pigeon Club), as per Council’s resolution dated 22/8/2017.

Reduction

- \$0.30 million reduction in operating expenses relating to the Restoration Management Program, offset by a reduction in restoration income received;
- \$0.25 million reduction for the Ryde Civic Hub - International Design Competition Project as it has now been completed and transferred to the Ryde Central Project;
- \$0.17 million reduction for lease expenses at North Ryde Office;
- \$0.14 million budget reduction for IT License and Maintenance Costs;
- \$0.09 million budget reduction for Insurance Premium expenses;
- \$0.08 million in salaries and wages savings, and
- \$0.06 million budget reduction for the 2017 Local Government Election.

In total, the Operating Surplus is projected to increase by \$1.36 million, most of which is being utilised for Capital projects or transferred to Reserves.

ITEM 3 (continued)Capital Budget

- The capital budget is projected to increase its capital expenses over budget by \$4.80 million (9.31%), with the main areas being as follows;

Increase

- \$1.75 million additional funding for the Connecting Centres (Cycling) Project - Infrastructure Program, of which 50% is funded by the Section 94 Reserve and 50% is funded a RMS Grant;
- \$0.75 million increased budget for the Constitution/Railway Rd Meadowbank TCS Project, funded by a RMS grant;
- \$0.54 million additional funding for the Pedestrian Infrastructure Safety Around Schools Program Project, of which \$0.35 million is funded by a RMS Grant and \$0.19 million is funded by the Section 94 Reserve;
- \$0.51 million additional funding for the Ryde Bowling Club Maintenance and Public Recreation Works Project, as per Council's resolution dated 23 October 2017, funded by the VPA Reserve;
- \$0.40 million additional RMS grant funding for the Bennelong Park Groyne and Foreshore Improvement Project;
- \$0.25 million additional funding for the East Parade Car Park Project, funded by the Assets Replacement Reserve;
- \$0.20 million additional funding for the Traffic Facilities Renewal Capital Program in relation to planning and investigation required to re-embellish collector and sub-arterial roads within the Ryde LGA, funded by the Assets Replacement Reserve;
- \$0.20 million additional funding for the replacement of Shop Ryder bus and wheel loader, funded by the Plant Replacement Reserve;
- \$0.12 million additional funding from RMS to install additional traffic calming devices, including Stop signs and traffic island at Elliott Ave/Moncrieff Drive and Bluett Ave to Rene Street, East Ryde;
- \$0.06 million additional funding for the Eastwood Transport Management and Access Plan 2008 Project; and
- \$0.03 million carryover adjustment for Sportsfield Floodlighting Renewal.

ITEM 3 (continued)Reserve Movements

- Transfers from Reserves are projected to increase by \$3.95 million, (4.97%) the main areas being as follows;

Increase

- \$1.65 million increase from the Financial Assistance Reserve as the grant was received in 2016/2017;
- \$0.82 million increase from the Section 94 Reserve to fund the Connecting Centres (Cycling) Project - Infrastructure Program;
- \$0.51 million increase from the VPA Reserve to fund the Ryde Bowling Club Maintenance and Public Recreation Works Project, as per Council resolution dated 23 October 2017;
- \$0.25 million increase from the Asset Replacement Reserve to fund capital works in East Parade Car Park;
- \$0.20 million increase from the Asset Replacement Reserve to fund planning and investigation costs required to re-embellish collector and sub-arterial roads within the Ryde LGA;
- \$0.20 million increase from the Plant Replacement Reserve to fund the replacement of the Shop Ryder bus and wheel loader equipment;
- \$0.19 million increase from the Section 94 Reserve to fund the Pedestrian Infrastructure Safety Around Schools Program;
- \$0.16 million increase from the Section 94 Reserve to fund the Eastwood Traffic & Parking Study Project;
- \$0.15 million increase from the Insurance Fluctuation Reserve to fund additional consulting costs relating to investigations as well as the cost of a temporary replacement for the Manager Risk, Audit and Governance;
- \$0.11 million increase from the Risk Rebate Reserve to fund costs relating to the new Procurement Department Manager position;
- \$0.08 million additional funding for consultant expenses related to property development pre-feasibility and investigative studies, funded by the Investment Property Reserve;
- \$0.06 million increase from the Section 94 Reserve to fund the Eastwood Transport Management and Access Plan 2008 Project; and
- \$0.03 million increase from the Asset Replacement Reserve for Sportsfield Floodlighting Renewal as a carryover adjustment.

ITEM 3 (continued)Reduction

- \$0.24 million reduction from the Domestic Waste Management Reserve due to the reduction in corporate and other overhead charges;
 - \$0.17 million reduction from the Accommodation Reserve due to a reassessment of the budget as it relates to the office accommodation lease payments for the North Ryde Office, and
 - \$0.06 million reduction from Council's Election Reserve due to lower than expected election costs arising from the recent 9 September 2017 Local Government Election.
- Transfers to Reserves are projected to increase by \$0.98 million (1.42%), the main areas being as follows;

Increase

- \$0.53 million increase to the Domestic Waste Management Reserve as additional waste income has been received;
- \$0.30 million transfer to the Section 94 Reserve as additional interest from investments have been earned during the first quarter of 2017/18;
- \$0.12 million increase to the Investment Property Reserve as additional rental income has been received from investment properties; and
- \$0.08 million increase to the Infrastructure Special Rate Reserve as additional rates income has been received.

Reduction

- \$0.05 million reduction to the Macquarie Park Corridor Special Rate Reserve due to a reduction of the rates income received.

Projects recommended to be cancelled, deferred, put on hold or to carryover

The following projects are listed in the September Quarterly Review and are recommended to be cancelled, deferred, put on hold or to carryover for the reasons indicated, with budget adjustments included;

- Ryde Civic Hub - International Design Competition – This project is completed and funding is recommended to be transferred to 'Ryde Central' project.

Staff turnover

The staff turnover rate has increased from 10.28% (2017 June Quarter) to 10.77% (2017 September Quarter) and the vacancy rate has decreased from 10.70% (2017 June Quarter) to 9.73% (2017 September Quarter).

ITEM 3 (continued)Critical Dates

The following deadlines are required to be met;

- In accordance with Section 407 of the Local Government Act 1993, the General Manager must report to the Council within 2 months after the end of each quarter as to the extent to which the performance targets set by the Council's current Management Plan have been achieved during that quarter.

Financial Implications

Council's available Working Capital is projected to decrease by \$0.47 million to approximately \$5.64 million as at 30 June 2018.

Council is projecting an Operating Result before Depreciation and Capital Grants and Contributions from an original \$21.03 million budget surplus to a revised \$19.42 million surplus.

Overall, Council's financial position and performance continues to be sound.

Certificate

In accordance with the Local Government (Financial Management) Regulation 1999, Part 2, Clause 7, I report that the financial position of the Council was satisfactory as at 30 September 2017, having regard to the original estimates of income and expenditure.

Variations in total income, operating and capital expenditure as at 30 June 2018 are of a quantum and nature that overall end of year financial targets will be achieved.

Steven Kludass

Chief Financial Officer
Responsible Accounting Officer

7 November 2017

ITEM 3 (continued)

ATTACHMENT 2



City of Ryde

Reserves Listing Report

Natural Account	Description	17/18 Opening Balance	Budget To Reserve	Budget From Reserve	17/18 Budget Result	Review To Reserve	Review From Reserve	17/18 Review Result
93001 - Internally Restricted Revenues								
		16,692.90			16,692.90			16,692.90
8301	Stockland Creche Contribution							
8302	Voluntary Planning Agreement Reserve	11,014,648.77		-1,063,630.00	9,951,018.77		-506,000.00	9,445,018.77
8303	Accommodation Reserve	13,476,842.14	141,760.00	-2,606,162.00	11,010,440.14		166,077.00	11,176,517.14
8304	Asset Replacement Reserve	18,941,022.38	7,045,660.00	-9,154,462.00	16,832,220.38		-476,917.00	16,355,303.38
8305	Plant Replacement Reserve	3,416,387.34	2,987,230.00	-3,378,240.00	3,025,377.34		-200,000.00	2,825,377.34
8306	Ryde Aquatic Leisure Centre Reserve	3,308,763.55	4,267,370.00	-4,339,170.00	3,236,963.55			3,236,963.55
8308	Public Art Reserve	15,279.00			15,279.00			15,279.00
8309	Council Election Reserve	660,363.27	150,000.00	-860,000.00	-49,636.73		60,000.00	10,363.27
8310	Risk Rebate Reserve	111,797.49			111,797.49		-111,797.00	0.49
8311	Investment Property Reserve	20,033,432.02	2,000,000.00	-495,900.00	21,537,532.02	120,000.00	-75,000.00	21,582,532.02
8312	Civic Hub Precinct Reserve	898,954.21		-250,000.00	648,954.21			648,954.21
8313	Community Grants Reserve	176,737.00			176,737.00			176,737.00
8314	Carryover Works Reserve	168,761.28	-75,929.00	-92,833.00	-0.72			-0.72
8315	Synthetic Sports Surface Reserve	48,248.00			48,248.00			48,248.00
8316	Transport & Pedestrian Initiatives MP Reserve	144,536.62			144,536.62			144,536.62
8317	Merger and Transition Reserve	4,156,072.53		-250,000.00	3,906,072.53			3,906,072.53
8318	Porters Creek Reserve	199,348.89			199,348.89			199,348.89
8319	Insurance Fluctuation Reserve	809,048.19			809,048.19		-159,048.00	650,000.19
8320	WHS & Injury Management Reserve	186,711.55		-50,000.00	136,711.55			136,711.55
8321	Public Domain Plan Reserve	138,602.00			138,602.00			138,602.00
8322	Planning Proposal Reserve	287,807.15	120,210.00	-120,000.00	287,807.15			287,807.15
8323	Macquarie University VPA Reserve	273,053.67			273,053.67			273,053.67
Total Internally Restricted Revenues		78,462,899.95	16,636,301.00	-22,662,397.00	72,436,803.95	120,000.00	-1,302,685.00	71,254,118.95
93002 - Internally Restricted Liabilities								
8327	Employee Leave Entitlements Reserve	3,821,540.00			3,821,540.00			3,821,540.00
8328	Refundable Deposits Reserves	12,462,781.13			12,462,781.13			12,462,781.13
8329	Interest on Refundable Deposits Reserve	377,366.19			377,366.19			377,366.19
Total Internally Restricted Liabilities		16,661,687.32			16,661,687.32			16,661,687.32

ITEM 3 (continued)

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City of Ryde

Reserves Listing Report

Natural Account	Description	17/18 Opening Balance	Budget To Reserve	Budget From Reserve	17/18 Budget Result	Review To Reserve	Review From Reserve	17/18 Review Result
93003 - Section 94 Contribution Reserves								
8351	Community & Cultural Facilities Reserve	11,415,427.87	5,193,540.00	-1,511,349.00	15,097,618.87	300,000.00		15,397,618.87
8352	Open Space & Recreation Facilities Reserve	46,504,256.22	13,450,630.00	-8,639,523.00	51,315,363.22		-58,748.00	51,256,615.22
8353	Roads & Traffic Management Facilities Reserve	1,799,250.64	1,874,430.00	-2,840,816.00	832,864.64		-1,174,261.00	-341,396.36
8354	Stormwater Management Facilities Reserve	5,301,822.73	617,750.00	-3,358,593.00	2,560,979.73			2,560,979.73
8355	Section 94 Plan Administration Reserve	92,636.71	90,050.00	-144,250.00	38,436.71			38,436.71
8901	Internal Loan - RALC Reserve							
Total Section 94 Contribution Reserves		65,113,394.17	21,226,400.00	-16,494,531.00	69,845,263.17	300,000.00	-1,233,009.00	68,912,254.17
93004 - Other External Restrictions								
8376	Domestic Waste Management Reserve	9,328,966.85	19,300,930.00	-19,771,640.00	8,858,256.85	533,132.00	244,115.00	9,635,503.85
8378	Macquarie Park Corridor Special Rate Reserve	1,306,654.12	1,428,080.00	-1,894,208.00	840,426.12	-54,539.00		785,887.12
8379	Stormwater Management Service Charge Reserve	1,171,158.64	1,034,620.00	-892,805.00	1,312,973.64	5,160.00		1,318,133.64
8381	Affordable Housing Contribution	138,910.00			138,910.00			138,910.00
8382	Infrastructure Special Rate Reserve	690,433.75	8,572,880.00	-8,317,040.00	946,273.75	76,212.00		1,022,485.75
Total Other External Restrictions		12,636,023.36	30,336,510.00	-30,875,693.00	12,096,840.36	559,965.00	244,115.00	12,900,920.36
93005 - Unexpended Grants Reserves								
8401	U/Exp Grant - Home Modification & Maintenance	67,706.46	30,700.00		98,406.46			98,406.46
8403	U/Exp Grant - Volunteer Referral Agency	49,767.96	55,320.00		105,087.96			105,087.96
8405	U/Exp Grant - Library Subsidy		254,400.00	-255,380.00	-980.00			-980.00
8416	U/Exp Grant - Financial Assistance Grants	1,211,988.00			1,211,988.00		-1,211,988.00	
8417	U/Exp Grant - Road Services Unit Management	446,080.00			446,080.00		-446,080.00	
8418	U/Exp Grant - Macquarie Park Master Plan	30,000.00			30,000.00			30,000.00
8434	U/Exp Grant - Sport Development Program							
8435	U/Exp Grant - WASIP	29,640.86			29,640.86			29,640.86
8438	U/Exp Grant - Parramatta River Catchments Flood							
8439	U/Exp Grant - Vacation Care Program Grant	5,253.73			5,253.73			5,253.73
8443	U/Exp Grant - Curzon St/ Smith St Pedestrian (RT)	765.05			765.05			765.05
8446	U/Exp Grant - Vinierra Road Traffic Facilities Rend	1,959.22			1,959.22			1,959.22
8447	U/Exp Grant - M2 Advertising Public Benefit (RMS)	35,844.00			35,844.00			35,844.00
8451	U/Exp Grant - Rowe St Eastwood Traffic Calming	9,725.16			9,725.16			9,725.16

ITEM 3 (continued)

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City of Ryde

Reserves Listing Report

Natural Account	Description	17/18 Opening Balance	Budget To Reserve	Budget From Reserve	17/18 Budget Result	Review To Reserve	Review From Reserve	17/18 Review Result
8455	U/Exp Grant - Planning for Ageing Population	4,560.00			4,560.00			4,560.00
8458	U/Exp Grant - Macquarie Park - Property	6,000,000.10		-6,000,000.00	0.10			0.10
8459	U/Exp Grant - Restoring Blue Gum High in Denistons	1,725.01			1,725.01			1,725.01
8461	U/Exp Grant - Better Waste & Recycling Fund	20,121.35	264,960.00	-49,994.00	235,087.35			235,087.35
8462	U/Exp Grant - Agincourt and Balaclava Rd TCS	8,039.11			8,039.11			8,039.11
8463	U/Exp Grant - Ivan Hoe Estate Collective Impact	20,000.00			20,000.00			20,000.00
8464	U/Exp Grant - CDAT	3,100.00			3,100.00			3,100.00
8465	U/Exp Grant - Blaxland Road SUP - Stage 3 (RMS)	3,463.39			3,463.39			3,463.39
8466	U/Exp Loan - LIRS Phase 1	6,175.23			6,175.23		-6,175.23	
8467	U/Exp Loan - LIRS Phase 2	264,324.43		-270,374.00	-6,049.57		6,050.00	0.43
8468	U/Exp Grant - Ryde Remembers (ANZAC)	5,273.59		-5,274.00	-0.41			-0.41
8469	U/Exp Grant - NSW Youth Council Conference	49,382.00	-9,091.00	-30,000.00	10,291.00			10,291.00
8470	U/Exp Grant - Shrimptons Creek Corridor Embellish	4,850,114.00	49,886.00	-2,900,000.00	2,000,000.00			2,000,000.00
8471	U/Exp Grant - Morrison Rd LATM	11,720.00			11,720.00			11,720.00
Total Unexpended Grants Reserves		13,136,730.65	646,175.00	-9,511,022.00	4,271,883.65		-1,658,193.23	2,613,690.42
Internal Loans								
8901	Internal Loan - RALC Reserve	-2,101,000.09	64,190.00		-2,036,810.09			-2,036,810.09
Total Internal Loans		-2,101,000.09	64,190.00		-2,036,810.09			-2,036,810.09
TOTAL RESERVES		183,909,735.36	68,909,576.00	-79,543,643.00	173,275,668.36	979,965.00	-3,949,772.23	170,305,861.13

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Strategic City program						
1111505	Corporate Reporting Unit	Op Exp	115,030	33,214	(13,200)	Salaries and wages saving
Total Strategic City program					(13,200)	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

[Redacted]					
		<i>Approved Budget 2017/2018</i>	<i>Actual 2017/2018</i>	<i>Proposed Changes 2017/2018</i>	<i>Comments</i>

Organisational Development program

2211124 Health and Wellbeing	Op Exp	5,000	8,500	15,000	Increase budget to cover fruit boxes costs
Total Organisational Development program				15,000	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Risk Management program						
1611211	Risk Management	Op Inc	(10,570)	(2,279)	4,570	Reduce motor vehicle leaseback budget as only 1 motor vehicle
1011511	Legal	Op Exp	275,170	274,366	250,000	Increase budget to reflect the increasing in development appeals and the need to pay for expert witnesses for those matters
1611139	Internal Audit	Op Exp	181,490	62,360	(4,200)	Salaries and wages saving
1611139	Internal Audit	Op Exp	50,250	124,551	150,000	Increase budget to reflect the additional consultants costs relating to investigations as well as the cost of temporary replacement for the Manager Risk, Audit and Governance
1611139	Internal Audit	From Re			(150,000)	Increase budget to reflect the additional consultants costs relating to investigations as well as the cost of temporary replacement for the Manager Risk, Audit and Governance
1611211	Risk Management	Op Exp	393,380	359,411	(25,000)	Budget Adjustment to reflect the actuals
1611211	Risk Management	Op Exp	226,410	68,675	(16,300)	Salaries and wages saving
2711012	Audit & Compliance	Op Exp	532,170	145,236	(32,300)	Salaries and wages saving
1711001	Procurement Administration	Op Exp	3,770		(3,770)	Budget Adjustment to reflect the actuals
1711001	Procurement Administration	Op Exp	10,630		(10,630)	Budget Adjustment to reflect the actuals
1711001	Procurement Administration	Op Exp	70,900	15,750	53,565	Increase budget to cover the salaries cost for Department Manager of Procurement
1711001	Procurement Administration	From Re			(104,044)	To fund salaries cost for Department Manager of Procurement
Total Risk Management program					111,891	

ITEM 3 (continued)

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City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Community and Cultural program						
6410000	Events- Community	Op Inc	(49,910)	(74,486)	(24,000)	Increase budget to reflect the additional Sponsorships received
6410000	Events- Community	Op Inc		(67,254)	(67,000)	Increase budget to reflect the additional income from Stallholders for Granny Smith events
6911073	Cultural Planning	Op Inc	(100)		100	Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Inc	(370)		370	Transfer to Social and Cultural Policy and Planning program
6911538	Social and Cultural policy and planning	Op Inc			(100)	Consolidate accounts - budget transfer from Cultural Planning program
6911538	Social and Cultural policy and planning	Op Inc		(1,718)	(370)	Consolidate accounts - budget transfer from Cultural Planning program
53030	Oper - Affordable Housing	Op Exp		2,975	12,000	Cover the cost of strata fees for new units coming on line
54014	Maint - Community Buildings - Leased	Op Exp	305,440	57,896	64,000	Repairs and maintenance to the recently vacated eastwood Town hall prior to going out to EOI
6311001	Community Life Grants Administration	Op Exp	5,090		(1,300)	Salaries and wages saving
6410000	Events- Community	Op Exp	92,750	37,500	20,000	Increase budget to reflect the increase attendance in events larger and more sound infrastructure required
6410000	Events- Community	Op Exp	53,330	37,902	40,000	Increase budget due to entertainment budget is not competitive enough for events of this size
6410000	Events- Community	Op Exp	76,850	5,883	20,000	Increase budget due to increased in the demand for security and risk measures at events
6411001	Events Administration	Op Exp	216,400	128,295	230,000	Transfer budget from Community Facilities Hire Administration program
6711001	Community Facilities Hire Administration	Op Exp	343,130	39,036	(230,000)	Transfer budget to Events Administration program
6811001	Community Services Administration	Op Exp	202,570	74,371	(5,300)	Salaries and wages saving
6811004	Aged	Op Exp	95,220	21,429	(14,400)	Salaries and wages saving
6811129	Immunisation	Op Exp	91,460	30,816	(3,500)	Salaries and wages saving
6911073	Cultural Planning	Op Exp	13,570		(13,570)	Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	5,000		(5,000)	Transfer to Social and Cultural Policy and Planning program

ITEM 3 (continued)

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City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
6911073	Cultural Planning	Op Exp	2,040		(2,040) Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	8,000		(8,000) Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	13,550		(13,550) Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	6,890		(6,890) Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	8,210		(8,210) Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	6,490		(6,490) Transfer to Social and Cultural Policy and Planning program
6911073	Cultural Planning	Op Exp	650		(650) Transfer to Social and Cultural Policy and Planning program
6911226	Social Policy & Planning	Op Exp	14,430		(7,215) Reduce the budget to reflect actual expenditure
6911226	Social Policy & Planning	Op Exp	19,880	1,990	8,210 Transfer budget from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			13,570 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			5,000 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			2,040 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			8,000 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp		4,516	13,550 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			6,890 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			6,490 Consolidate accounts - budget transfer from Cultural Planning program
6911539	Social and Cultural policy and planning	Op Exp			650 Consolidate accounts - budget transfer from Cultural Planning program
Total Community and Cultural program					33,285

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

[Redacted]				
	<i>Approved Budget 2017/2018</i>	<i>Actual 2017/2018</i>	<i>Proposed Changes 2017/2018</i>	<i>Comments</i>

Customer and Community Relations program

2411422	Music Dexus - Software Licence	Op Exp	2,350		(1,050)	Reduce the budget to reflect actual
Total Customer and Community Relations program					(1,050)	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Open Space, Sport & Recreation program						
7411001	Natural Areas Administration	Op Inc	(4,670)		4,670	Transfer 1 motor vehicle to Parks Sports & Recreation Administration program
7411001	Natural Areas Administration	Op Exp	27,090	4,516	(13,545)	Transfer 1 motor vehicle to Parks Sports & Recreation Administration program
7711001	Parks Sports & Recreation Administration	Op Inc	(10,520)	(5,155)	(4,670)	Transfer 1 motor vehicle from Natural Areas Administration program
7711001	Parks Sports & Recreation Administration	Op Exp	27,080	13,548	13,545	Transfer 1 motor vehicle from Natural Areas Administration program
54104	Maint - Parks Buildings	Op Exp	800,170	81,098	15,000	Funding allocated for Demolition of Bill Mitchell Club House (Pigeon Club) as per Council Resolution dated 22 August 2017
50011	Sportsfield Floodlighting Renewal	Cap Exp	253,407	41,867	29,286	Budget remained should have been carried over
50011	Sportsfield Floodlighting Renewal	From Re	(253,407)		(29,286)	Budget remained should have been carried over
50016	Playground Renewal & Construction Renewal	Cap Exp	1,163,471	409,777	86,000	Mulhall Park Art Works and ELS Hall Artworks
50016	Playground Renewal & Construction Renewal	From Re	(1,163,471)		(86,000)	Mulhall Park Art Works and ELS Hall Artworks
51028	Public Art - Passive Parks Improvement and Expansion	Cap Exp	1,021,623	244,874	(86,000)	Transfer to Playground Renewal & Upgrade for Mulhall Park Art Works and ELS Hall Art Works
51028	Public Art - Passive Parks Improvement and Expansion	From Re	(3,427,963)	(21,100)	86,000	Transfer to Playground Renewal & Upgrade for Mulhall Park Art Works and ELS Hall Art Works
5442682	Ryde Bowling Club Maintenance and Public Rec Works	Cap Exp			506,000	Funding for project Ryde Bowling Club maintenance and Public Recreation Works.
5442682	Ryde Bowling Club Maintenance and Public Rec Works	From Re			(506,000)	Funding for project Ryde Bowling Club Maintenance and Public Recreation Works.
7740817	Implementation of Children Play Plan	Cap Exp			6,175	Addition of unexpended LIRS Phase1 funds to facilitate the spending
7740817	Implementation of Children Play Plan	From Re			(6,175)	Addition of unexpended LIRS Phase 1 funds to facilitate the spending.
7740979	Implementation of Children Play Plan -P2	Cap Exp	285,674		(6,050)	Carryover adjustments
7740979	Implementation of Children Play Plan -P2	From Re	(270,374)		6,050	Carryover adjustments..
Total Open Space, Sport & Recreation program					15,000	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

	Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Centres and Neighbourhood program

50007	Neighbourhood Centre Renewal	Cap Exp	120,000	19,274	(5,800)	Transfer to Centres Activation Program Park Art Works
50007	Neighbourhood Centre Renewal	From Re	(120,000)		5,800	Transfer to Centres Activation Program Park Art Works
Total Centres and Neighbourhood program						

ITEM 3 (continued)

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City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Library program

7010504	1 Pope Street - Ryde Library	Op Exp	423,770	137,316	(10,000)	Salaries and wages saving
7010528	2 Graf Avenue - West Ryde Library	Op Exp	504,250	154,089	(5,500)	Salaries and wages saving
7011019	Book Delivery Service	Op Exp	49,050	19,125	10,000	Additional funds required to reflect increased expenditure
Total Library program					(5,500)	

ITEM 3 (continued)

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City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Internal Corporate Services program					
1011114	General Manager's Office	Op Inc	(1,718)	(5,460)	Add budget for leaseback income for 1 motor vehicle
1211142	Investment Income	Op Inc	(1,226,400)	(300,000)	Increase the budget to reflect the actuals
1311025	Business Rates	Op Inc	(14,909,570)	(14,543,484)	357,850 Reduce the budget to reflect the actuals
1311099	Environmental Levy	Op Inc	(7,050,430)	(7,122,444)	(46,109) Increase the budget to reflect the actuals
1311110	Financial Assistance Grant	Op Inc	(2,386,850)	(305,000)	1,166,849 Reduce the budget as Financial Assistance Grant was received in 2016/2017
1311154	Macquarie Park Corridor Levy	Op Inc	(1,427,080)	(1,378,579)	54,539 Reduce the budget to reflect the actuals.
1311210	Residential Rates	Op Inc	(29,597,140)	(30,152,852)	(409,752) Increase the budget to reflect the actuals
1311233	Stormwater Management Charge	Op Inc	(1,034,620)	(1,041,659)	(5,160) Increase the budget to reflect the actuals
1311552	Infrastructure Special Rate Levy	Op Inc	(8,572,880)	(8,676,994)	(76,212) Increase the budget to reflect the actuals
2311001	WHS Development and Management	Op Inc	(32,340)	(58,025)	(30,000) Consolidate accounts within the program
8610001	Business Improvements	Op Inc	(1,718)	(5,000)	Budget adjustment for 1 motor vehicle
8611545	Project Management Office	Op Inc	(2,168)	(5,500)	Budget adjustment for 1 motor vehicle
1011114	General Manager's Office	Op Exp	20,850	4,516	13,550 Increase budget for 1 motor vehicle
1111001	Corporate Services Administration	Op Exp	529,150	193,893	(18,500) Salaries and wages saving
1111001	Corporate Services Administration	Op Exp	120,000	(120,000)	Increases the budget due to additional costs for the procurement consultant in analysing, updating and implementing changes to Council's current procurement framework.
1111001	Corporate Services Administration	Op Exp	69,000	150,000	Increases the budget due to additional costs for the procurement consultant in analysing, updating and implementing changes to Council's current procurement framework.
1211109	Finance Controlled Items	Op Exp	(1,766,880)	226,000	Reduced the Domestic waste overhead Charges
1211109	Finance Controlled Items	Op Exp	(105,270)	105,270	Consolidate all the salaries and wages saving fro various programs
1211463	Finance - Financial Accounting - Administration	Op Exp	288,460	80,958	(3,700) Salaries and wages saving

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City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments	
1311001	Rates & Revenue Administration	Op Exp	85,830		25,000	Increase the budget to reflect the actuals
1311001	Rates & Revenue Administration	Op Exp	44,600	31,288	20,000	Increase the budget to reflect the actuals
1311001	Rates & Revenue Administration	Op Exp	162,440		2,200	Increase the budget to reflect the actuals
1311001	Rates & Revenue Administration	Op Exp	(60,610)	(34,118)	(20,000)	Increase the budget to reflect the actuals
2211371	Corporate Training & Development Survey	Op Exp	22,940		37,500	Increase budget to reflect the actuals
2211472	Staff Christmas Party	Op Exp	10,220		10,000	Increase budget to reflect the actuals
2211530	Corporate Training & Development Administration	Op Exp	113,810	29,261	(3,600)	Salaries and wages saving
2411001	Information Technology Services Administration	Op Exp	9,380		(9,380)	Reduce the budget to reflect the actuals
2411001	Information Technology Services Administration	Op Exp	40,630	18,064	13,550	Increase budget for 1 additional motor vehicle
2411001	Information Technology Services Administration	Op Exp	208,780	80,846	108,723	Funding for a temporary Information Access Officer position
2411001	Information Technology Services Administration	Op Exp			6,070	Increase budget to reflect the actual
2411015	Autodesk (AEC Systems)	Op Exp	15,360	16,224	49,640	Increase budget to reflect actual licenses in the organisation
2411027	Cabling Computers	Op Exp	3,230		(3,230)	Reduce the budget to reflect actuals
2411046	CHRIS 21	Op Exp	34,820		(9,820)	Reduce the budget to reflect actuals
2411083	Data Center (OPTUS)	Op Exp	23,720	5,236	1,280	Increase budget to reflect actuals
2411091	Etipos Services	Op Exp	37,730		(37,730)	Reduce the budget to reflect actuals
2411166	Microsoft	Op Exp	418,220	331,835	(68,220)	Reduce the budget due to negotiate new agreement with vendor
2411180	PABX Equipment	Op Exp			58,000	Increase budget to reflect the actual
2411201	Purchases	Op Exp			1,000	Increase budget to reflect the actual
2411201	Purchases	Op Exp			55,000	Increase IT network software (email filter and archiving, network monitoring, mobile device management)
2411238	TechnologyOne	Op Exp	178,770	123,577	21,230	Increase the budget to reflect actual costs increase

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
2411238	TechnologyOne	Op Exp	11,320		8,680	Increase budget to reflect the actual
2411241	Telecommunications - Data Lines	Op Exp	385,600	65,320	(120,600)	Reduce the budget to reflect the actuals and saving to be transferred to mobile phone costs
2411242	Telecommunications - Mobile Phones	Op Exp			108,000	Increase the budget to reflect the actuals
2411248	TRIM	Op Exp	45,960	23,974	9,040	Increase the budget to reflect the actuals
2411303	Aust Graffiti Register	Op Exp	11,320		(5,320)	Reduce the budget to reflect actuals
2411304	Chem/Watch	Op Exp	3,590		(3,590)	Reduce the budget to reflect actuals
2411333	E Business Module	Op Exp	102,200		(102,200)	Consolidate the accounts
2411347	Telecommunications - Transition	Op Exp	70,070	50,136	(70,070)	Reduce the budget to reflect actuals
2411362	Info Technology Svcs Qflow	Op Exp	7,740		(3,740)	Reduce the budget to reflect actuals
2411363	Webproxy	Op Exp	13,450		(13,450)	Reduce the budget to reflect actuals
2411367	Info Technology Svcs eBusiness External Support	Op Exp	6,470		(6,470)	Reduce the budget to reflect actuals
2411437	IT Licence - Symantec Backup Exec	Op Exp	7,180		(7,180)	Reduce the budget to reflect actuals
2411471	CPR, PDS & PMCOR - Maintenance	Op Exp	51,860	59,555	8,140	Increase budget to reflect actuals as price increased moving to the cloud
2411491	Business Management Financial Reporting System	Op Exp	7,870		(7,870)	Reduce the budget to reflect actuals
2411558	EzeScan - Annual Software Maintenance	Op Exp	11,440	1,761	8,560	Increase the budget due to additional licenses required
2411559	Planet Footprint Annual Software Maintenance	Op Exp		20,630	21,000	Budget for planet footprint - new application for accounts
2411560	IT Corporate Application Administration	Op Exp	12,140		(12,140)	moved to IS Department and IT Infrastructure section.
2411561	IT Infrastructure Administration	Op Exp		2,700	6,070	Increase the budget to reflect the actual
2411579	Adobe License Fees	Op Exp	12,100	334	12,900	Increase the budget due to the increasing in Adobe licenses
2511001	Records Management Administration	Op Exp	50,000	34,950	100,000	Increase the budget due to Increase offsite storage
2511001	Records Management Administration	Op Exp	730,320	278,658	64,594	Funding for the salary of temporary IRM Officer position

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018		Actual 2017/2018	Proposed Changes 2017/2018	Comments
8611545	Project Management Office	Op Exp		4,516	13,550	Budget adjustment for 1 motor vehicle.
8810001	Project Development Management	Op Exp	149,000	74,013	74,452	Budget adjustment for 4 additional motor vehicles
8810001	Project Development Management	Op Exp	(5,070,450)	(5,558,738)	(74,452)	Budget adjustment for 4 additional motor vehicles
5720413	Plant & Fleet Purchases	Cap Exp	3,000,000		200,000	Replacement of 1 Top Ryde bus for 150k and 50k for bring forward the replacement of 1 Wheel loader due to high maintenance costs.
1211109	Finance Controlled Items	From Re			(7,753)	Close Risk Rebate reserve.
1211109	Finance Controlled Items	From Re			(9,048)	Maintenance the balance of Insurance Fluctuation reserve at \$650K.
1311110	Financial Assistance Grant	From Re			(1,211,988)	Financial Assistance Grant was received in 2016/17
5720413	Plant & Fleet Purchases	From Re	(3,000,000)		(200,000)	Replacement of 1 Top Ryde bus for 150k and 50k for bring forward the replacement of 1 Wheel loader due to high maintenance costs.
1211142	Investment Income	To Res	1,226,400		300,000	Increase the budget to reflect the actuals
1311154	Macquarie Park Corridor Levy	To Res	1,428,080		(54,539)	Reduce the budget to reflect the actuals
1311233	Stormwater Management Charge	To Res	1,034,620		5,160	Increase the budget to reflect the actuals
1311552	Infrastructure Special Rate Levy	To Res	8,572,880		76,212	Increase the budget to reflect the actuals
Total Internal Corporate Services program					411,826	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments	
Governance and Civic program						
1811001	Governance Administration	Op Inc	(5,130)	(1,555)	(2,732)	Adjust Staff Vehicle Lease budget to align the new organisation structure
1811001	Governance Administration	Op Exp	27,090	9,032	6,776	Adjust Motor Vehicle budget to align the new organisation structure
1811001	Governance Administration	Op Exp	288,540	112,195	35,735	Adjust salaries and wages budget to align with the new organisation structure
1811162	Councillor Support	Op Exp	6,470		(5,000)	Reduce the budget to reflect the actuals.
1911039	Council Meeting Support	Op Exp	158,170	24,321	(14,200)	Salaries and wages saving
2411306	InfoCouncil	Op Exp	18,160		7,840	Increase budget to reflect the actual
1830498	Election 2017	NCP Exp	660,000		(60,000)	Reduce the budget to reflect the actuals
1830498	Election 2017	From Res	(660,000)		60,000	Reduce the budget to reflect the actuals
Total Governance and Civic program					28,419	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

	<i>Approved Budget 2017/2018</i>	<i>Actual 2017/2018</i>	<i>Proposed Changes 2017/2018</i>	<i>Comments</i>
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Land Use Planning program

4111001 Strategic Planning Administration	Op Exp	970,700	269,338	(3,400)	Salaries and wages saving
Total Land Use Planning program				(3,400)	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Regulatory program						
2811081	Development Assessments	Op Inc	(181,080)	(83,634)	(100,000)	Increase the budget to reflect the actual DA Application fees received
2811081	Development Assessments	Op Inc	(1,565,830)	(573,789)	(100,000)	Increase the budget to reflect the actual DA advertising fees received
3511001	Building & Land Use Enforcement Administration	Op Inc	(758,710)	(402,478)	(100,000)	Increase budget to reflect the actual enforcement levy received
3711001	Environmental Health & Safety Enforcement Administration	Op Inc	(4,590)	(1,636)	(3,700)	Increase budget for 1 additional Environmental Health Officer funded by additional inspection fees and charges
3711213	Routine Inspections	Op Inc	(231,150)	(57,225)	(50,000)	Additional inspection fees and charges due to new EHO recruited from Nov 2017.
2411310	Plinforce	Op Exp	32,000		1,000	Budget adjustment for Increase license cost
2411406	InfoMaster	Op Exp	35,100	8,890	(15,100)	Reduce the budget to reflect actuals
2411580	Fieldworker Connectivity App Health & Bld License Fees	Op Exp	20,000		(20,000)	Reduce the budget to reflect actuals
2811236	Subdivisions	Op Exp	178,060	59,362	(4,200)	Salaries and wages saving
2911008	Appeals	Op Exp	116,900	35,150	(3,400)	Salaries and wages saving
3711001	Environmental Health & Safety Enforcement Administration	Op Exp	13,550	4,516	10,161	Increase budget for 1 additional Environmental Health Officer funded by additional inspection fees and charges
3711001	Environmental Health & Safety Enforcement Administration	Op Exp	259,070	99,017	35,000	Increase budget for 1 additional Environmental Health Officer funded by additional inspection fees and charges
3711213	Routine Inspections	Op Exp	105,850	39,593	35,000	Increase budget for 1 additional Environmental Health Officer funded by additional inspection fees and charges
3811007	Regulatory Control	Op Exp	270,160	99,471	(5,000)	Salaries and wages saving
3911001	Parking Control Administration	Op Exp	775,610	286,242	(6,900)	Salaries and wages saving
4211035	Case Management	Op Exp	57,230	19,437	(3,500)	Salaries and wages saving
4211191	Prelodgement	Op Exp	178,950	61,303	(5,200)	Salaries and wages saving
Total Regulatory program					(335,839)	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

	Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Economic Development program

1030671	Centres Activation Program (Town and Neighbourhood) (NCP Ex)	150,000	5,800	Transfer from Public Art budget of Neighbourhood Centre Renewal Capital program to Centres Activation program
1030671	Centres Activation Program (Town and Neighbourhood) (From Re)		(5,800)	Transfer from Public Art budget of Neighbourhood Centre Renewal Capital program to Centres Activation program
Total Economic Development program				

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Catchment program

8510001	Stormwater & Asset Integration Unit Management	Op Inc	(15,650)	(6,927)	(4,500)	Budget adjustment for 1 motor vehicle
2411305	Drains	Op Exp	2,010	1,410	(2,010)	Reduce the budget to reflect actuals
2411308	TuFlow	Op Exp	1,790		(1,790)	Reduce the budget to reflect actuals
8510001	Stormwater & Asset Integration Unit Management	Op Exp	40,640	18,064	13,550	Budget adjustment for 1 motor vehicle
Total Catchment program					5,250	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Traffic & Transport program						
4710226	Development Management OPEX	Op Inc	(120,680)	(56,478)	(25,000)	Increase the budget due to the Increase in development works in the LGA
4710226	Development Management OPEX	Op Inc	(120,680)	(89,580)	(50,000)	Increase the budget due to the Increase in development works in the LGA
4710226	Development Management OPEX	Op Inc	(300,000)	(78,742)	(100,000)	Increase the budget due to the Increase in development works in the LGA
4710226	Development Management OPEX	Op Inc		(57,646)	(100,000)	Increase the budget due to the Increase in development works in the LGA
4710226	Development Management OPEX	Op Inc		(67,332)	(75,000)	Increase the budget due to the Increase in development works in the LGA
3111584	Shop Ryder Community Bus Service	Op Exp	145,000		(145,000)	Consolidate the accounts
3111584	Shop Ryder Community Bus Service	Op Exp		26,181	70,000	Consolidate the accounts
3111584	Shop Ryder Community Bus Service	Op Exp		27,853	75,000	Consolidate the accounts
4710226	Development Management OPEX	Op Exp		61,501	200,000	Extra Agency staff engagement required to cover the increased works as increase in expenditure offset by the increased income
4710226	Development Management OPEX	Op Exp			150,000	Extra Agency staff engagement required to cover the increased works as increase in expenditure offset by the increased income
4930732	Eastwood Traffic & Parking Study	NCP Exp			160,000	Increase budget for Eastwood Traffic and Parking Study project (Cycling) - Infrastructure Program
4930732	Eastwood Traffic & Parking Study	From Re			(160,000)	To fund Eastwood Traffic and Parking Study project
4940004	Traffic Calming Devices	Cap Inc			(120,000)	RMS Funded project to install additional Traffic Calming Devices including STOP Signs and traffic island
4942671	Pedestrian Infrastructure Safety Around Schools Program	Cap Inc			(350,000)	This project is funded partial by RMS and partial by section 94
4942671	Pedestrian Infrastructure Safety Around Schools Program	Cap Exp			540,000	This project is funded partial by RMS and partial by section 94
4942671	Pedestrian Infrastructure Safety Around Schools Program	From Re			(190,000)	To fund project Pedestrian Infrastructure Safety Around Schools Program
50021	Car Park Renewal	Cap Exp	175,000	26,250	250,000	Funds for East Parade car park being brought forward from 2018/19 to accommodate complete construction cost this financial year
50021	Car Park Renewal	From Re	(175,000)		(250,000)	Funds for East Parade car park being brought forward from 2018/19 to accommodate complete construction cost this financial year
4940004	Traffic Calming Devices	Cap Exp	435,000		120,000	RMS Funded project to install additional Traffic Calming Devices including STOP Signs and traffic island

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments	
4941783	Eastwood Transport Management and Access Plan 200	Cap Exp	93,621		56,379	Increase in scope to accommodate Parramatta Council requirements for infrastructure
4942676	Constitution/Railway Rd Meadowbank TCS	Cap Inc			(750,000)	Additional RMS Grant received relating to Constitution/Railway Rd Meadowbank TCS project
4942676	Constitution/Railway Rd Meadowbank TCS	Cap Exp			750,000	The project is fully funded by RMS grant
4941783	Eastwood Transport Management and Access Plan 200	From Re	(93,621)		(56,379)	To fund the increase in scope to accommodate Parramatta Council requirements for infrastructure
50005	Traffic Facilities Renewal	Cap Exp	174,000	61,189	200,000	Planning and investigation required to re-embellish the collector and sub-arterial roads within the Ryde LGA
50005	Traffic Facilities Renewal	From Re	(174,000)		(200,000)	Planning and investigation required to re-embellish the collector and sub-arterial roads within the Ryde LGA
Total Traffic & Transport program						

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments	
Waste and Recycling program						
5810408	DWM Landfill OPEX	Op Inc	(5,320)	(3,457)	(5,000)	Budget adjustment for 1 motor vehicle.
5811086	DWM Income	Op Inc	(18,451,640)	(20,050,956)	(533,132)	Increase the budget to reflect the actuals
5810408	DWM Landfill OPEX	Op Exp	13,540	9,032	13,540	Budget adjustment for 1 motor vehicle
5810410	DWM Litter Prevention OPEX	Op Exp	38,310		(19,155)	Reduce the budget to reflect actual expenditure
5810410	DWM Litter Prevention OPEX	Op Exp	15,000		(7,500)	Reduce the budget to reflect actual expenditure
5811469	DWM Overhead	Op Exp	1,766,880		(226,000)	Domestic Waste Overhead Charges adjustments
6010409	Construction Recycling OPEX	Op Exp	1,700	100,001	57,190	Budget to be transferred from Construction Disposal Waste
6010416	Construction Disposal Venim	Op Exp	(285,900)		285,900	transfer budget from construction disposal venim or construction disposal waste to distribute proportionately against actuals of Construction Materials Disposal from Sites to Porters Creek
6010417	Construction Disposal Waste	Op Exp	(60,040)	(1,339)	80,040	transfer budget from construction disposal venim or construction disposal waste to distribute proportionately against actuals of Construction Materials Disposal from Sites to Porters Creek
6010417	Construction Disposal Waste	Op Exp	57,190		(57,190)	Transfer budget to fund the addition costs for Construction Recycling Operating Expenses
6011473	Construction Materials Disposal from Sites to Porters Cr	Op Exp		(7,965)	(73,188)	Transfer budget to fund additional costs for Construction Disposal VENIM & Construction Disposal Waste
6011473	Construction Materials Disposal from Sites to Porters Cr	Op Exp		(4,294)	(109,782)	Transfer budget to fund additional costs for Construction Disposal VENIM & Construction Disposal Waste
6011473	Construction Materials Disposal from Sites to Porters Cr	Op Exp		(36,799)	(128,079)	Transfer budget to fund additional costs for Construction Disposal VENIM & Construction Disposal Waste
6011473	Construction Materials Disposal from Sites to Porters Cr	Op Exp		(415)	(18,297)	Transfer budget to fund additional costs for Construction Disposal VENIM & Construction Disposal Waste
6011473	Construction Materials Disposal from Sites to Porters Cr	Op Exp		(1,232)	(36,594)	Transfer budget to fund additional costs for Construction Disposal VENIM & Construction Disposal Waste
5810408	DWM Landfill OPEX	From Re	(585,560)		(8,540)	Budget adjustment for 1 motor vehicle
5810410	DWM Litter Prevention OPEX	From Re	(102,070)		26,655	Reduce the budget to reflect actual expenditure
5811469	DWM Overhead	From Re	(1,766,880)		226,000	Domestic Waste Overhead Charges adjustments
5811086	DWM Income	To Res	19,300,930		533,132	Increase the budget to reflect the actuals

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

	Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Total Waste and Recycling program				

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
Property Portfolio program						
5610501	Property Development Management OPEX	Op Inc	(43,540)		43,540	Removal of Income budget due to duplication.
53018	Oper - Corporate Buildings	Op Exp	3,110,800	1,088,490	(206,077)	Revise budget to include EOY adjustments necessary to reflect the amortisation of rental abatement/cash incentive and reduction of insurance budget
53018	Oper - Corporate Buildings	From Re	(2,440,170)		166,077	Revise budget to include EOY adjustments necessary to reflect the amortisation of rental abatement/cash incentive.
5610502	Property Portfolio Management OPEX	Op Exp	34,310	8,030	75,000	Additional funding for consultant expenses related to property development pre-feasibility and investigative studies
53019	Oper - Commercial Buildings	Op Exp	413,970	213,369	(20,000)	Reduce the budget to reflect the actual.
54019	Maint - Commercial Buildings	Op Exp	543,800	77,767	10,000	Expenditure increase to manage investment properties.
1830678	Ryde Civic Hub - International Design Competition	NCP Exp	250,000		(250,000)	Budget to be transferred to Ryde Centre project.
1830731	Ryde Central	NCP Exp			250,000	Funding to be transferred from Ryde Civic hub - International Design Competition.
1830678	Ryde Civic Hub - International Design Competition	From Re	(250,000)		250,000	Budget to be transferred to Ryde Centre project.
1830731	Ryde Central	From Re			(250,000)	Funding to be transferred from Ryde Civic hub - International Design Competition.
5610502	Property Portfolio Management OPEX	From Re			(75,000)	Additional funding for consultant expenses related to property development pre-feasibility and investigative studies
55019	Income - Commercial Buildings	Op Inc	(1,750,820)	(770,322)	(22,210)	Increased rental income from investment properties and reduction of advertising royalty fees
55019	Income - Commercial Buildings	To Res			120,000	Any surplus from investment properties will be transferred to Investment Property Reserve as per Council's resolution dated 13 May 2014
Total Property Portfolio program					91,330	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

		Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Roads program

4710001	Road Services Unit Management	Op Inc	(929,630)	(112,225)	480,732	Financial Assistance Grant received in 2016/2017
4710227	Restoration Management OPEX	Op Inc	(1,483,460)	(468,591)	300,000	Reduce the budget due to less recoverable works been undertaken
55002	income - Road Resurfacing	Op Inc	(82,140)		82,140	Grant Income received in 2016/2017
4710227	Restoration Management OPEX	Op Exp	250,000		(250,000)	Reduce the budget due to less recoverable works been undertaken
4710227	Restoration Management OPEX	Op Exp	305,540	65,748	(50,000)	Reduce the budget due to less recoverable works been undertaken
4710001	Road Services Unit Management	From Re			(446,080)	Financial Assistance Grant received in 2016/2017
Total Roads program					116,792	

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

	Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Foreshore program

8442387	Bennelong Park Groynes and Foreshore Improvement	Cap Inc	(50,000)	(400,000)	RMS Grant to fully fund works for protection of foreshore at Bennelong Park next to Concord Sailing Club
8442387	Bennelong Park Groynes and Foreshore Improvement	Cap Exp		400,000	RMS grant funding to contract 2 foreshore protection groynes
Total Foreshore program					

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

			Approved Budget 2017/2018	Actual 2017/2018	Proposed Changes 2017/2018	Comments
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Paths and Cycleways program

53003	Oper - Footpaths & Nature Strips	Op Exp	512,020	248,038	302,020	Transfer from Maint-Footpaths & Nature Strips to Oper-Footpaths & Nature Strips.
54003	Maint - Footpaths & Nature Strips	Op Exp	1,381,310	180,868	(302,020)	Transfer from Maint-Footpaths & Nature Strips to Oper-Footpaths & Nature Strips.
51001	Cycleways Construction Expansion	Cap Inc			(924,261)	RMS grant f for Connecting Centres (Cycling) - Infrastructure Program
51001	Cycleways Construction Expansion	Cap Exp	350,000	62,288	1,748,522	Partially funded by RMS and Council Section 94 Contribution for Connecting Centres (Cycling) - Infrastructure Program
51001	Cycleways Construction Expansion	From Re	(350,000)		(824,261)	Section 94 Contribution to fund Connecting Centres (Cycling) -Infrastructure Program
Total Paths and Cycleways program						

ITEM 3 (continued)

ATTACHMENT 3

City of Ryde - 2017/2018 Quarterly Changes Report

	<i>Approved Budget 2017/2018</i>	<i>Actual 2017/2018</i>	<i>Proposed Changes 2017/2018</i>	<i>Comments</i>
GRAND TOTAL			469,804	

ITEM 3 (continued)

ATTACHMENT 4



Consolidated Income & Expenditure Estimates 2017/2018													
Includes all Special Rates & Levies													
	Original Budget 2017/2018 \$'000	City Over Budget 2017/2018 \$'000	TOTAL Original Budget 2017/2018 \$'000	Sep Changes 2017/2018 \$'000	Dec Changes 2017/2018 \$'000	Mar Changes 2017/2018 \$'000	Jun Carryovers 2017/2018 \$'000	Jun Changes 2017/2018 \$'000	PROPOSED Budget 2017/2018 \$'000	ACTUAL YTD 2017/2018 \$'000	APPROVED Budget 2017/2018 \$'000	VARIANCE TO ACTUAL 2017/2018 \$'000	
PROJECTED OPERATING RESULT	\$ 900	\$ 600	\$ 900	\$ 000	\$ 000	\$ 000	\$ 900	\$ 000	\$ 900	\$ 900	\$ 900	\$ 000	
OPERATING REVENUE													
Rates & Annual Charges	82,315		82,315	658					82,973	83,345	82,315	(372)	
User Charges & Fees	16,571		16,571	400					16,971	6,046	16,571	10,925	
Interest	4,165		4,165	300					4,465	1,988	4,165	2,479	
Other Operating Revenue	9,262		9,262	6					9,268	3,053	9,262	6,214	
Operating Grants & Contributions	7,269	172	7,441	(1,609)					5,832	1,606	7,441	4,325	
TOTAL OPERATING REVENUE	119,562	172	119,734	(245)					116,509	95,937	119,734	23,573	
OPERATING EXPENSES													
Employee Costs	47,641		47,641	(24)					47,617	12,250	47,641	35,367	
Materials & Contracts	30,821	585	31,206	913					32,119	8,154	31,206	23,965	
Borrowing Costs	148		148						148	40	148	106	
Other Operating Expenses	20,149		20,149	56					20,234	5,942	20,149	14,262	
TOTAL OPERATING EXPENSES	98,559	585	99,142	945					100,058	26,386	99,142	73,700	
Operating Result Before Capital Amounts	21,026	(413)	20,612	(1,189)					16,423	88,550	20,612	(60,127)	
Capital Grants & Contributions	20,414	433	20,847	2,544					23,391	5,078	20,647	18,313	
In-kind Contributions													
Net Gain / (Loss) on Disposal of Assets										347		(347)	
Total Capital Income	20,414	433	20,847	2,544					23,391	5,425	20,647	17,966	
Operating Result Before Depreciation	41,439	20	41,459	1,355					42,814	74,975	41,459	(32,161)	
Depreciation & Impairment	16,285		16,285						16,285		16,285	16,285	
Operating Result	25,144	20	25,164	1,355					26,529	74,975	25,164	(48,459)	

ITEM 3 (continued)

ATTACHMENT 4



<i>Consolidated Income & Expenditure Estimates 2017/2018</i>													
<i>Includes all Special Rates & Levies</i>													
<i>PROJECTED FUNDING</i>	<i>Original Budget</i>	<i>C/Over Budget</i>	<i>TOTAL Original Budget</i>	<i>Sep Changes</i>	<i>Dec Changes</i>	<i>Mar Changes</i>	<i>Jun Carryovers</i>	<i>Jun Changes</i>	<i>PROPOSED Budget</i>	<i>ACTUAL YTD</i>	<i>APPROVED Budget</i>	<i>VARIANCE TO ACTUAL</i>	
OPERATING RESULT	25,144	20	25,165	1,355					25,520	74,975	25,165	(48,455)	
Funding													
ADD (Non-Cash) - Depreciation	16,295		16,295						16,295		16,295	16,295	
ADD (Non-Cash) - ELE Accruals													
ADD (Non-Cash) - Interest on Security Deposits - Accruals													
ADD Book Value of Assets Disposed	1,000		1,000						1,000		1,000	1,000	
Cash Available to Fund Capital Expenditure	42,439	20	42,459	1,355					43,514	74,975	42,459	(31,161)	
CAPITAL EXPENDITURE													
City Planning and Development	5,238	7,707	12,945	760					13,738	1,101	12,945	12,904	
City Works and Infrastructure	31,975	3,615	35,590	4,035					35,625	13,038	35,590	26,567	
Customer and Community Services	1,439	221	1,660						1,660	247	1,660	1,414	
Corporate and Organisational Support Services	781	545	1,326						1,326	310	1,326	1,015	
TOTAL CAPITAL EXPENDITURE	39,433	12,068	51,522	4,795					56,316	14,716	51,522	41,600	
Cash Flow to Fund	3,066	(12,068)	(9,062)	(3,440)					(12,302)	50,259	(9,062)	(72,761)	
Financed by:													
Opening Working Capital	4,854	249	5,103						5,103	5,103	5,103		
Borrowings													
New Borrowings	350		350						350		350	350	
Less: Loan Repayments	(914)		(914)						(914)	(408)	(914)	(506)	
Net Loan Funds (Payments/Receipts)	(564)		(564)						(564)	(408)	(564)	(156)	
Reserves	(1,434)	12,068	10,634	2,970					13,694	169	10,634	13,435	
Closing Working Capital	5,862	249	6,111	(470)					5,641	65,123	6,111	(59,482)	

4 ANNUAL REPORT ON CODE OF CONDUCT COMPLAINTS STATISTICS

Report prepared by: Governance Coordinator

File No.: CLR/07/8/9/8/4 - BP17/1123

REPORT SUMMARY

This report provides details of Code of Conduct complaints received in the 12 months prior to 31 August 2017, in accordance with Part 12 of the City of Ryde Code of Conduct – Complaints Procedure. Part 12 also requires that these Code of Conduct statistics be provided to the Office of Local Government by 31 December 2017.

RECOMMENDATION:

- (a) That Council note the **ATTACHED** table of Code of Conduct complaints for 1 September 2016 to 31 August 2017.
- (b) That Council provide these statistics to the Office of Local Government as required by the Model Code of Conduct – Complaints Procedure.

ATTACHMENTS

- 1 Code of Conduct Complaints Statistics Report - November 2017

Report Prepared By:

Lorie Parkinson
Governance Coordinator

Report Approved By:

John Schanz
Manager - Risk, Audit and Governance

Roy Newsome
Director - Corporate and Organisational Support Services

ITEM 4 (continued)**Discussion**

Part 12 of Council's Code of Conduct – Complaints Procedure, requires Council's Complaints Coordinator to submit statistics relating to Code of Conduct complaints about Councillors and the General Manager to Council within 3 months of the end of September each year. This information is also to be reported to the Office of Local Government (OLG) by the same date.

This complaints procedure and reporting requirement reflects the Local Government Model Code of Conduct as prescribed by *Local Government (General) Regulation 2005*.

The attached table of statistics follows the prescribed form, as issued by the OLG on 9 November 2017. As in previous years, the OLG has requested that these statistics be submitted by 30 November.

ATTACHMENT 1 details the Code of Conduct Complaints Statistics for the year ending 31 August 2017.

Financial Implications

The total cost of managing complaints made about Councillors and the General Manager during the period 1 September 2016 to 31 August 2017, as provided in the complaints statistics report, was \$24,235. This total was made up of \$11,285 for external conduct review costs, and an estimated \$12,950 relating to staff costs.

Adoption of the recommendations will have no financial impact.

Critical Dates

In accordance with Part 12 of the Code of Conduct Complaints Procedure, these statistics, including the investigation and resolution process and outcomes, are to be presented to Council by 31 December 2017. However, as in previous years, the OLG has asked that they receive these statistics by 30 November 2017.

Should Council not consider this report at the meeting of 28 November 2017, the OLG's request will be unable to be met. It is also possible that the statutory deadline of 31 December 2017 may be missed.

ITEM 4 (continued)

ATTACHMENT 1

Page 1 of 3

Model Code of Conduct Complaints Statistics City of Ryde Council 1 September 2016 - 31 August 2017		
Number of Complaints		
1	a The total number of complaints received in the period about councillors and the General Manager (GM) under the code of conduct	3
	b The total number of complaints finalised in the period about councillors and the GM under the code of conduct	3
Overview of Complaints and Cost		
2	a The number of complaints finalised at the outset by alternative means by the GM or Mayor	0
	b The number of complaints referred to the Office of Local Government under a special complaints management arrangement	0
	c The number of code of conduct complaints referred to a conduct reviewer	3
	d The number of code of conduct complaints finalised at preliminary assessment by conduct reviewer	2
	e The number of code of conduct complaints referred back to GM or Mayor for resolution after preliminary assessment by conduct reviewer	2
	f The number of finalised code of conduct complaints investigated by a conduct reviewer	1
	g The number of finalised code of conduct complaints investigated by a conduct review committee	0
	h The number of finalised complaints investigated where there was found to be no breach	0
	i The number of finalised complaints investigated where there was found to be a breach	1
	j The number of complaints referred by the GM or Mayor to another agency or body such as the ICAC, the NSW Ombudsman, the Office or the Police	0
	k The number of complaints being investigated that are not yet finalised	0
	l The total cost of dealing with code of conduct complaints within the period made about councillors and the GM including staff costs	24,235

ITEM 4 (continued)

ATTACHMENT 1

Page 2 of 3

Preliminary Assessment Statistics	
3	The number of complaints determined by the conduct reviewer at the preliminary assessment stage by each of the following actions:
a	To take no action 2
b	To resolve the complaint by alternative and appropriate strategies 0
c	To refer the matter back to the GM or the Mayor, for resolution by alternative and appropriate strategies 0
d	To refer the matter to another agency or body such as the ICAC, the NSW Ombudsman, the Office or the Police 0
e	To investigate the matter 1
f	To recommend that the complaints coordinator convene a conduct review committee to investigate the matter 0
Investigation Statistics	
4	The number of investigated complaints resulting in a determination that there was no breach , in which the following recommendations were made:
a	That the council revise its policies or procedures 0
b	That a person or persons undertake training or other education 0
5	The number of investigated complaints resulting in a determination that there was a breach in which the following recommendations were made:
a	That the council revise any of its policies or procedures 0
b	That the subject person undertake any training or other education relevant to the conduct giving rise to the breach 1
c	That the subject person be counselled for their conduct 1
d	That the subject person apologise to any person or organisation affected by the breach 0
e	That findings of inappropriate conduct be made public 0
f	In the case of a breach by the GM, that action be taken under the GM's contract for the breach 0
g	In the case of a breach by a councillor, that the councillor be formally censured for the breach under section 440G of the Local Government Act 1993 0
h	In the case of a breach by a councillor, that the matter be referred to the Office for further action 0
6	Matter referred or resolved after commencement of an investigation under clause 8.20 of the Procedures 0

ITEM 4 (continued)

ATTACHMENT 1

Page 3 of 3

Categories of misconduct	
7	The number of investigated complaints resulting in a determination that there was a breach with respect to each of the following categories of conduct:
a	General conduct (Part 3) 1
b	Conflict of interest (Part 4) 0
c	Personal benefit (Part 5) 0
d	Relationship between council officials (Part 6) 0
e	Access to information and resources (Part 7) 0
Outcome of determinations	
8	The number of investigated complaints resulting in a determination that there was a breach in which the council failed to adopt the conduct reviewers recommendation 0
9	The number of investigated complaints resulting in a determination that there was a breach in which the council's decision was overturned following a review by the Office 0

CONFIDENTIAL ITEMS

5 REQUEST FOR TENDER - COR-RFT-09/17 COMMUNITY, RECREATION, LEISURE AND FACILITY MANAGEMENT SOFTWARE

Report prepared by: Business Analyst

Report approved by: Manager - Ryde Aquatic Leisure Centre; Acting Director - Customer and Community Services

Confidential

This item is classified CONFIDENTIAL under Section 10A(2) of the Local Government Act, 1993, which permits the meeting to be closed to the public for business relating to the following: (c) information that would, if disclosed, confer a commercial advantage on a person with whom the Council is conducting (or proposes to conduct) business.

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6 ADVICE ON COURT ACTIONS

Confidential

This item is classified CONFIDENTIAL under Section 10A (2) of the Local Government Act, 1993, which permits the meeting to be closed to the public for business relating to the following: (g) advice concerning litigation, or advice as comprises a discussion of this matter, that would otherwise be privileged from production in legal proceedings on the ground of legal professional privilege.

This matter is classified confidential because it contains advice concerning legal matters that are:-

- (a) substantial issues relating to a matter to which the Council is involved.
- (b) clearly identified in the advice, and
- (c) fully discussed in that advice.

It is not in the public interest to reveal all details of this matter as it would prejudice Council's position in any court proceedings.

Report prepared by: Solicitor

File Number: GRP/09/5/8 - BP17/1112

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